

2021 Dec 13

# NLO #18

- **High-Q microresonators** (linear regime)
- **Nonlinear Physics in microresonators**
  - Nonlinear interactions and coupled mode equations
  - Light coupling and effective detuning
  - Three-mode system and parametric threshold (modulation instability MI)
  - Cascaded four-wave mixing (FWM)
  - Lugiato-Lefer-Equation and dissipative solitons
  - Some applications

# Coupling light to a resonator

$$\tilde{A} = A(t) e^{-i\omega_0 t} \quad (|A|^2 \text{ is number of photons in cavity})$$

Time evolution:

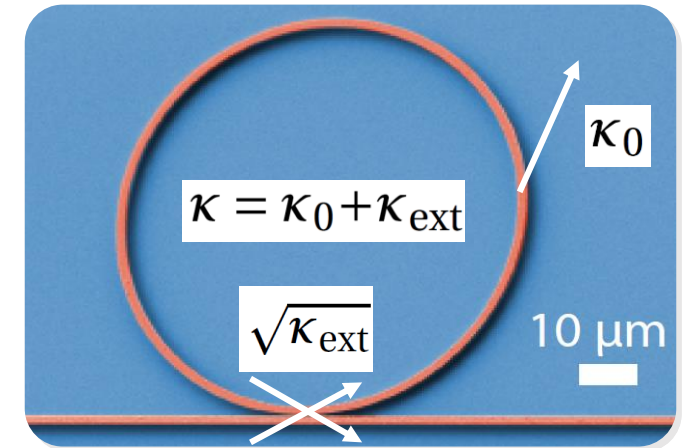
$$\frac{dA(t)}{dt} = -\frac{\kappa}{2} A(t) + \sqrt{\kappa_{\text{ext}}} s_{\text{in}}(t) e^{-i(\omega_p - \omega_0)t}$$

Quality-factor

$$Q = \omega / \kappa = \omega \tau$$

( $|s_{\text{in}}|^2$  is number of photons per second)

$s_{\text{in}}(t)$



Transform into rotating frame of pump:

$$a = A e^{i(\omega_p - \omega_0)t} \longrightarrow \frac{da(t)}{dt} = -\left(i \underbrace{(\omega_0 - \omega_p)}_{\text{Detuning}} + \frac{\kappa}{2}\right) a(t) + \sqrt{\kappa_{\text{ext}}} s_{\text{in}}(t)$$

Assume continuous wave laser:

$$s_{\text{in}}(t) = s_{\text{in}} \xrightarrow{\text{Steady-state}} a = \frac{\sqrt{\kappa_{\text{ext}}}}{i(\omega_0 - \omega_p) + (\frac{\kappa}{2})} \cdot s_{\text{in}}$$

Steady-state number of photons in cavity

$$|a|^2 = \frac{\kappa_{\text{ext}}}{(\omega_0 - \omega_p)^2 + (\frac{\kappa}{2})^2} \cdot |s_{\text{in}}|^2$$

# Coupling light to a resonator

from previous page

$$|a|^2 = \frac{\kappa_{\text{ext}}}{(\omega_0 - \omega_p)^2 + (\frac{\kappa}{2})^2} \cdot |s_{\text{in}}|^2$$



$$P_{\text{cav}} = \text{FSR} \cdot \frac{\kappa_{\text{ext}}}{(\omega_0 - \omega_p)^2 + (\frac{\kappa}{2})^2} \cdot P_{\text{in}} = 2\eta \cdot \frac{F}{\pi} \cdot \frac{1}{\left(\frac{4(\omega_0 - \omega_p)^2}{\kappa^2}\right) + 1} \cdot P_{\text{in}}$$



$$P_{\text{cav}} = \frac{F}{\pi} \cdot P_{\text{in}}$$

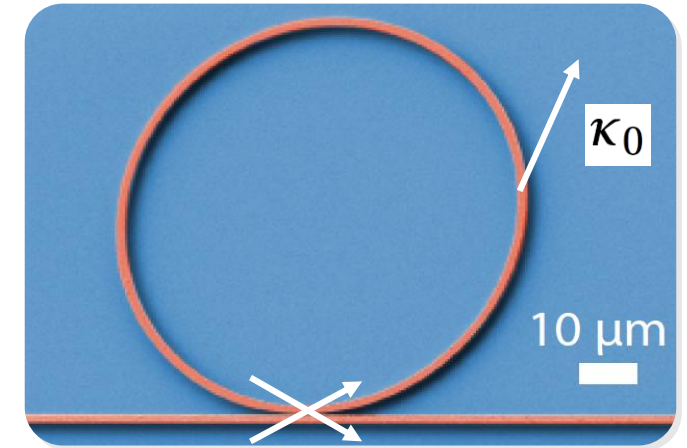
Power enhancement is proportional to Finesse

Finesse

$$F = \frac{\text{FSR}}{\kappa/2\pi}$$

( $|s_{\text{in}}|^2$  is number of photons per second)

$s_{\text{in}}(t)$



Coupling ratio

$$\eta = \kappa_{\text{ext}}/\kappa$$

Coupling regimes

- $\kappa_0 > \kappa_{\text{ext}}$  undercoupled
- $\kappa_0 = \kappa_{\text{ext}}$  critically coupled
- $\kappa_0 < \kappa_{\text{ext}}$  overcoupled

# Resonance spectrum and chromatic dispersion

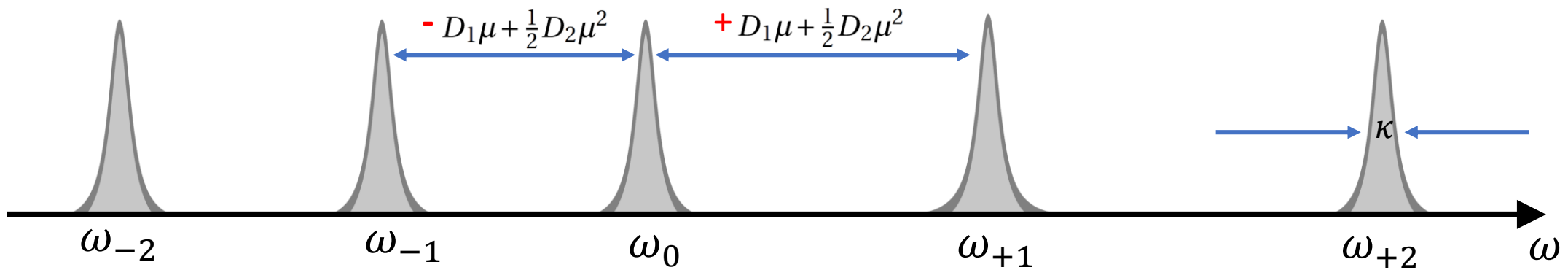
$$\omega_\mu = \omega_0 + D_1\mu + \frac{1}{2}D_2\mu^2$$

Dispersion leads to non-equidistant resonance frequencies

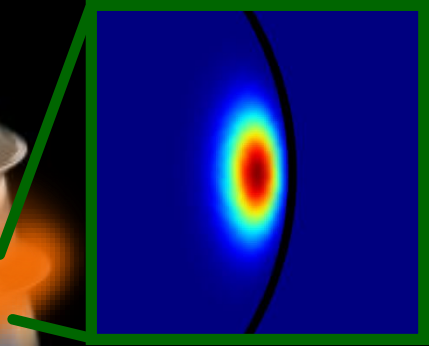
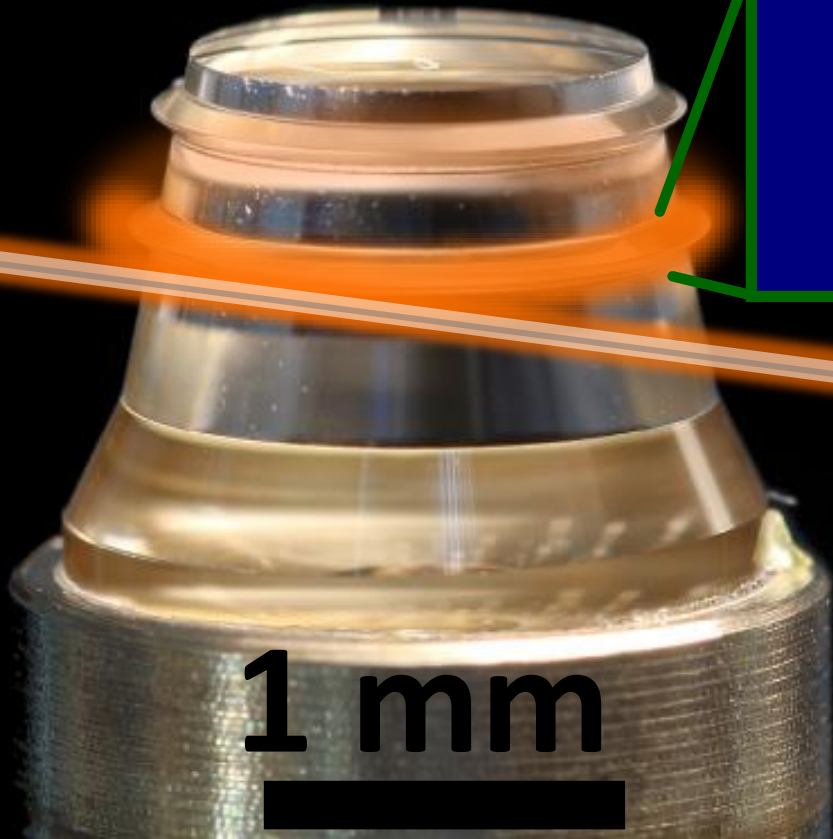
$$D_1 = 2\pi \text{FSR}(\mu) = 2\pi \frac{c}{n_g L}$$

$$D_2 = 2\pi \text{FSR}'(\mu) = -\frac{c}{n_g} D_1^2 \beta_2$$

$$d_2 = D_2 / \kappa$$

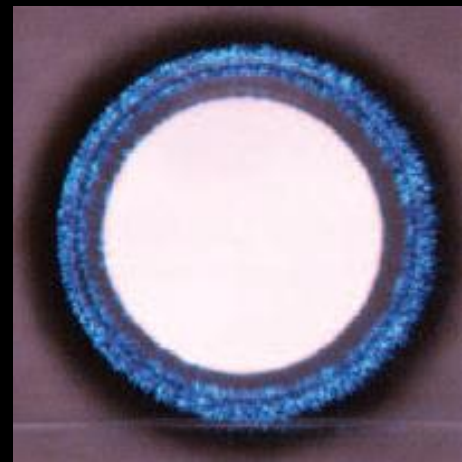
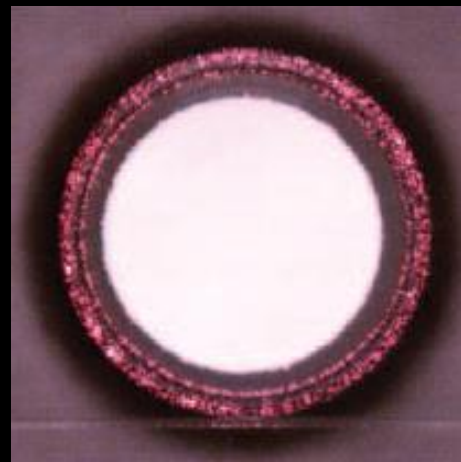
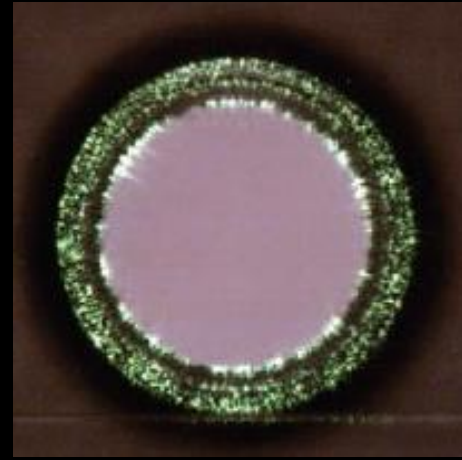


# Nonlinear Microresonators



Polarization of the medium:

$$\tilde{P}(t) = \epsilon_0[\chi^{(1)}\tilde{E}(t) + \chi^{(2)}\tilde{E}^2(t) + \chi^{(3)}\tilde{E}^3(t) + \dots]$$



# Nonlinear coupling in a resonator

Wave equation in radial coordinates:

$$\frac{1}{R^2} \frac{\partial^2}{\partial \phi^2} E - \frac{n^2}{c^2} \frac{\partial^2}{\partial t^2} E = \frac{1}{\epsilon_0 c^2} \frac{\partial^2}{\partial t^2} P_{\text{NL}} \quad n_\nu \approx n$$

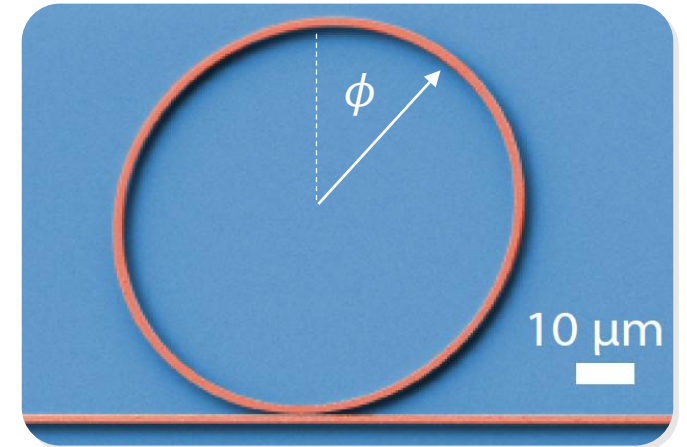
$$E(t, \phi) = \sum_{\nu} \underbrace{E_{\nu}(t) e^{i(\nu\phi - \omega_{\nu}t)}}_{\text{Resonator eigenmodes}} + \text{c.c.}$$

$$P_{\text{NL}}(t, \phi) = \epsilon_0 \chi^{(3)} E^3(t, \phi)$$

$$\frac{\nu^2}{R^2} - \frac{n^2}{c^2} \omega_{\nu}^2 = 0$$

$$-\frac{n^2}{c^2} \sum_{\nu} \left( \frac{\partial^2}{\partial t^2} E_{\nu} - 2i\omega_{\nu} \frac{\partial}{\partial t} E_{\nu} \right) e^{i(\nu\phi - \omega_{\nu}t)} + \text{c.c.}$$

$$= \frac{3\chi^{(3)}}{c^2} \frac{\partial^2}{\partial t^2} \sum_{\mu', \mu'', \mu'''} E_{\mu'} E_{\mu''} E_{\mu'''}^* e^{i((\mu' + \mu'' - \mu''')\phi - (\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''}))t} + \text{c.c.}$$



# Nonlinear coupling in a resonator

From previous page:

$$\begin{aligned}
 & -\frac{n^2}{c^2} \sum_{\nu} \left( \frac{\partial^2}{\partial t^2} E_{\nu} - 2i\omega_{\nu} \frac{\partial}{\partial t} E_{\nu} \right) e^{i(\nu\phi - \omega_{\nu}t)} + \text{c.c.} \\
 & = \frac{3\chi^{(3)}}{c^2} \frac{\partial^2}{\partial t^2} \sum_{\mu', \mu'', \mu'''} E_{\mu'} E_{\mu''} E_{\mu'''}^* e^{i((\mu' + \mu'' - \mu''')\phi - (\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''}))t} + \text{c.c.}
 \end{aligned}$$



Projection onto mode with index  $\mu$  by multiplication with  $e^{-i(\mu\phi - \omega_{\mu}t)}$  and integration over  $\phi$ .

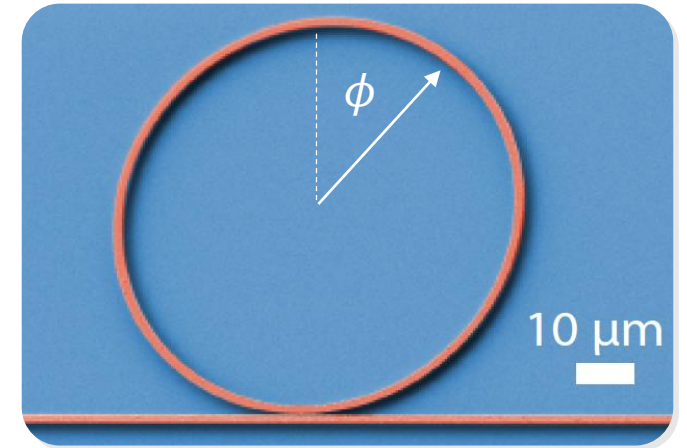
$$\frac{\partial}{\partial t} E_{\mu} = i \frac{3\chi^{(3)}\omega_{\mu}}{2n^2} \sum_{\mu', \mu'', \mu'''} E_{\mu'} E_{\mu''} E_{\mu'''}^* e^{-i(\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''} - \omega_{\mu})t}$$

$$\mu' + \mu'' - \mu''' - \mu = 0$$

Normalizing again such that  $|A_{\mu}|^2$  is number of photons in mode  $\mu$ .

$$\frac{\partial A_{\mu}}{\partial t} = ig \sum_{\mu', \mu'', \mu'''} A_{\mu'} A_{\mu''} A_{\mu'''}^* e^{-i(\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''} - \omega_{\mu})t}$$

$$g = \frac{\hbar\omega_0^2 c n_2}{n_0^2 V_{\text{eff}}}$$



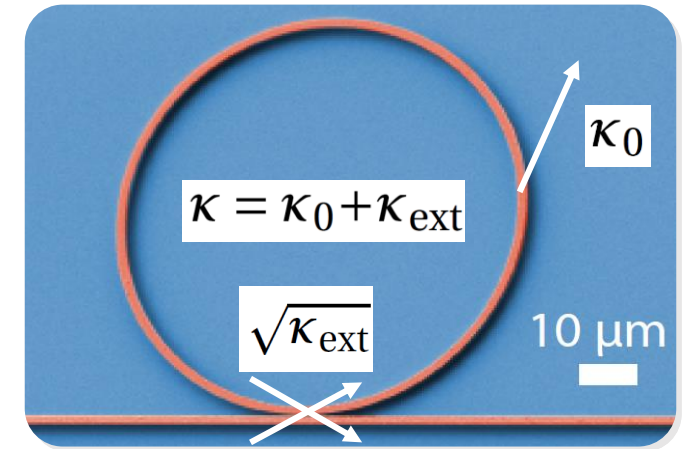


# Full coupled mode equations

$$\frac{\partial A_\mu}{\partial t} = \underbrace{-\frac{\kappa}{2} A_\mu}_{\text{loss}} + \underbrace{\delta_{\mu 0} \sqrt{\kappa_{\text{ext}}} s_{\text{in}}}_{\text{input}} \underbrace{e^{-i(\omega_p - \omega_0)t}}_{\text{pump detuning}}$$

$$+ \underbrace{i g \sum_{\mu', \mu'', \mu'''} A_{\mu'} A_{\mu''} A_{\mu'''}^*}_{\text{nonlinear coupling}} e^{-i(\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''} - \omega_\mu)t}$$

$$\mu' + \mu'' - \mu''' - \mu = 0$$



$$s_{\text{in}} = \sqrt{P_{\text{in}} / \hbar \omega_p}$$

$|A_\mu|^2$  is number of photons in mode  $\mu$

$$g = \frac{\hbar \omega_0^2 c n_2}{n_0^2 V_{\text{eff}}}$$

- Coupled eigenmode equations
- One equation for each eigenmode of the resonator



# Full coupled mode equations

From previous page:

$$\frac{\partial A_\mu}{\partial t} = -\frac{\kappa}{2} A_\mu + \delta_{\mu 0} \sqrt{\kappa_{\text{ext}}} s_{\text{in}} e^{-i(\omega_p - \omega_0)t}$$

$$\mu' + \mu'' - \mu''' - \mu = 0$$

$$+ i g \sum_{\mu', \mu'', \mu'''} A_{\mu'} A_{\mu''} A_{\mu'''}^* e^{-i(\omega_{\mu'} + \omega_{\mu''} - \omega_{\mu'''} - \omega_\mu)t}$$

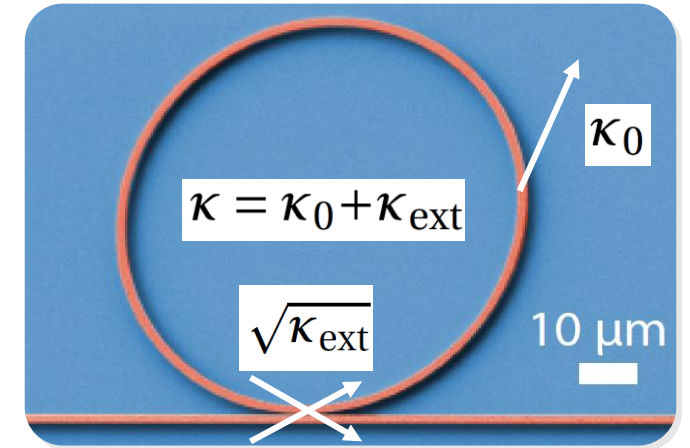
Oscillating term for non-zero dispersion

Instead of using resonator eigenmodes to describe system one can use an equidistant frequency grid with frequencies  $\omega_p + \mu D_1$ :

$$a_\mu = A_\mu \sqrt{2g/\kappa} e^{-i(\omega_\mu - \omega_p - \mu D_1)t}$$

$$\frac{\partial}{\partial \tau} a_\mu = -(1 + i\zeta_\mu) a_\mu + i \sum_{\mu', \mu'', \mu'''} a_{\mu'} a_{\mu''} a_{\mu'''}^* + \delta_{0\mu} f$$

$$\mu' + \mu'' - \mu''' - \mu = 0$$



$$\tau = \kappa t / 2$$

$$\zeta_\mu = 2(\omega_\mu - \omega_p - \mu D_1) / \kappa$$

$$f = \sqrt{8\eta g / \kappa^2} s_{\text{in}}$$

# Coupling light to a nonlinear resonator

From previous page (consider only mode  $\mu = 0$ ):

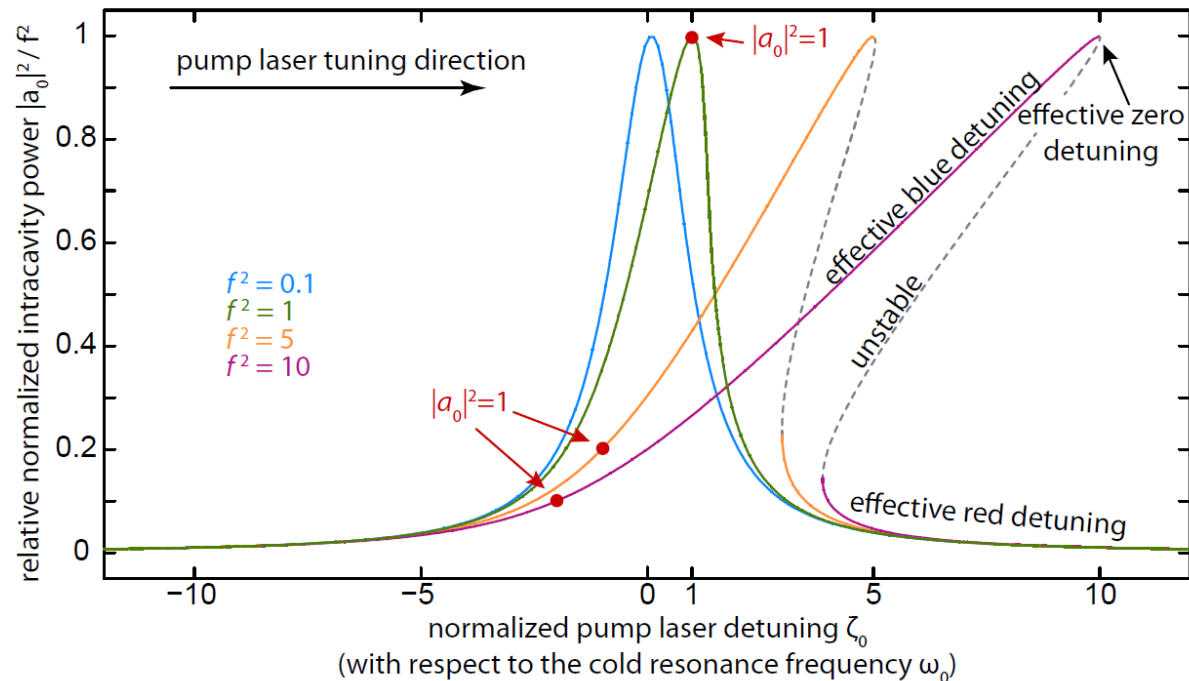
$$\frac{\partial a_0}{\partial \tau} = -[1 + i\zeta_0 - i|a_0|^2]a_0 + f$$

Steady state:

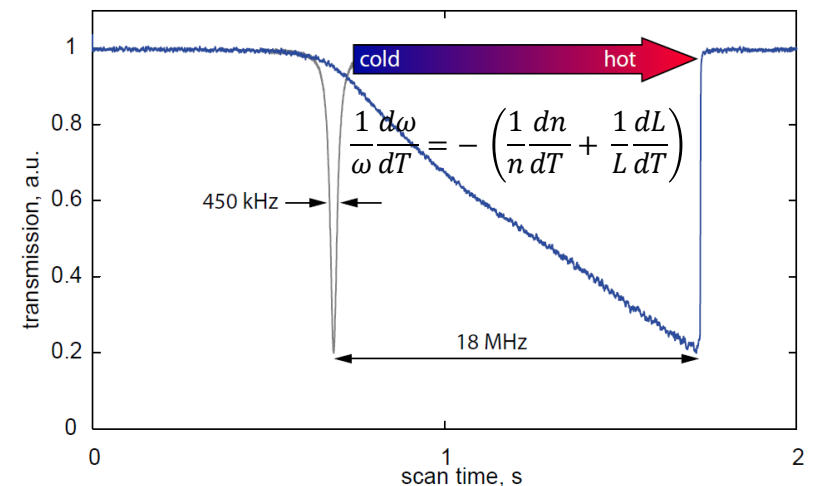
$$(\zeta_0 - |a_0|^2)^2 |a_0|^2 + |a_0|^2 = f^2$$

Effective nonlinear detuning

$$\zeta_0^{\text{eff}} = \zeta_0 - |a_0|^2 = \frac{2}{\kappa} ((\omega_0 - g|A_0|^2) - \omega_p)$$



Similar to thermal effect

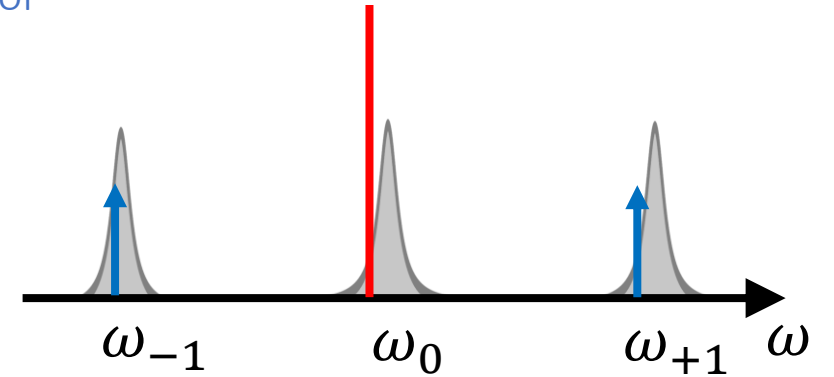


# Three-mode system Modulation instability (MI) in a resonator

Derivation similar to Modulation instability in non-resonant systems

$$\frac{\partial a_0}{\partial \tau} = -[1 + i\zeta_0 - i|a_0|^2]a_0 + f \longrightarrow \boxed{(\zeta_0 - |a_0|^2)^2 |a_0|^2 + |a_0|^2 = f^2}$$

$$\begin{aligned} \frac{\partial a_{+\mu}}{\partial \tau} &= -[1 + i\zeta_\mu - 2i|a_0|^2]a_{+\mu} + ia_0^2 a_{-\mu}^* \\ \frac{\partial a_{-\mu}^*}{\partial \tau} &= -[1 - i\zeta_\mu + 2i|a_0|^2]a_{-\mu}^* - ia_0^{*2} a_{+\mu} \end{aligned} \longrightarrow \begin{cases} \left( \begin{array}{c} \partial a_{+\mu}/\partial \tau \\ \partial a_{-\mu}^*/\partial \tau \end{array} \right) = \begin{pmatrix} -[1 + i\zeta_\mu - 2i|a_0|^2] & ia_0^2 \\ -ia_0^{*2} & -[1 - i\zeta_\mu + 2i|a_0|^2] \end{pmatrix} \cdot \begin{pmatrix} a_{+\mu} \\ a_{-\mu}^* \end{pmatrix} \end{cases}$$



Eigenvalues

$$\lambda = -1 \pm \sqrt{|a_0|^4 - (\zeta_\mu - 2|a_0|^2)^2}$$

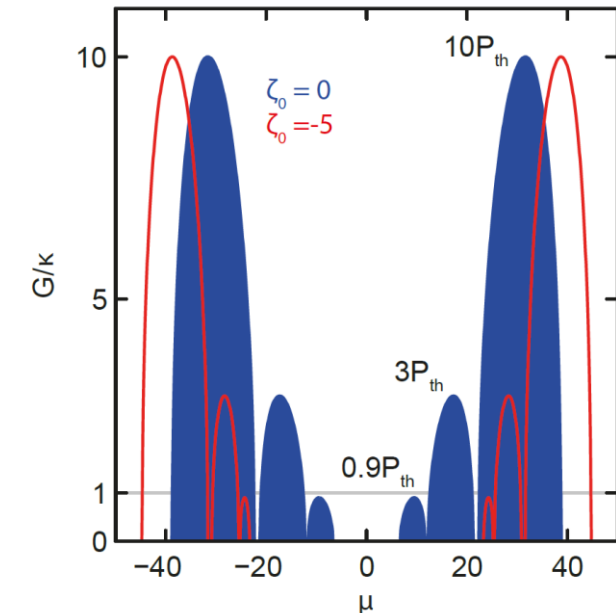
Parametric gain:  $G = \text{Re}[1 + \lambda] \kappa$

$$G = \sqrt{\kappa^2 |a_0|^4 - 4(\omega_0 - \omega_p + \mu^2 D_2 - \kappa |a_0|^2)^2}$$

Threshold:  $G > \kappa$  (i.e. gain compensates loss)

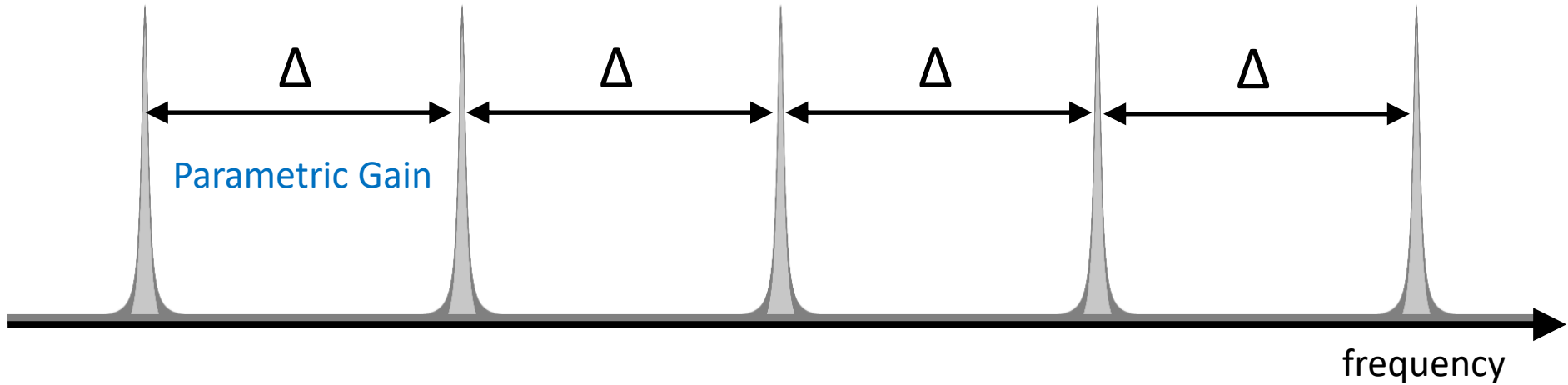
$$\boxed{P_{\text{in}}^{\text{th}} = \frac{\kappa^2 n_0^2 V_{\text{eff}}}{8\eta\omega_0 c n_2}}$$

Required  $D_2 > 0$  (anomalous GVD)



# Cascaded FWM in a system with large FSR

Optical spectrum:



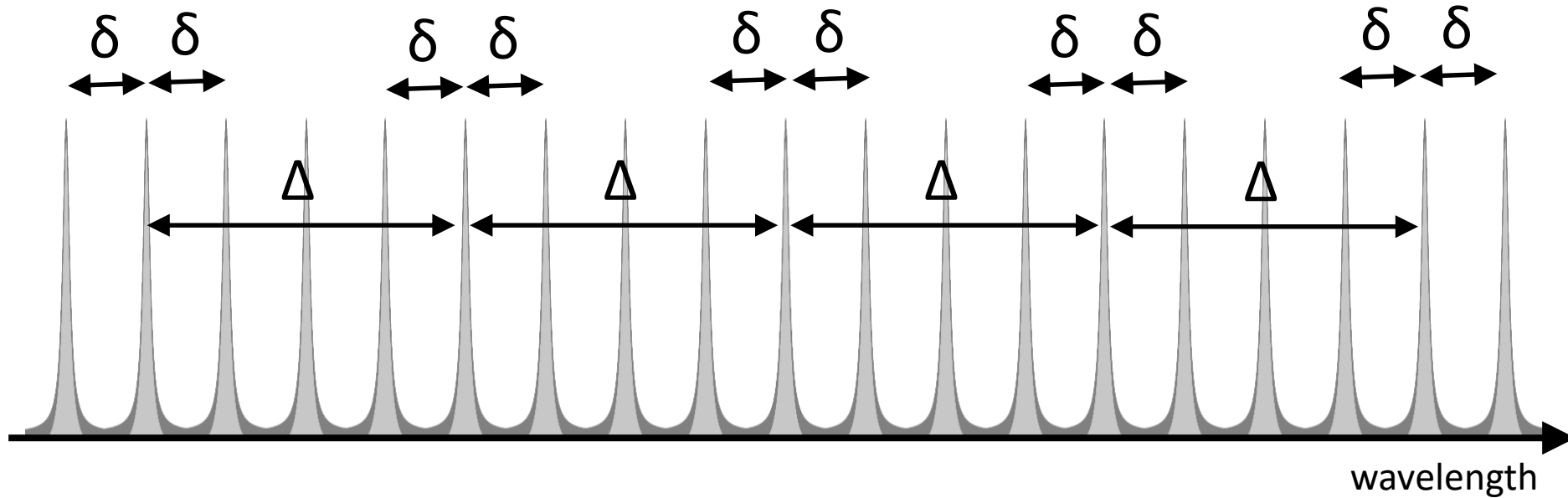
- 1. Degenerate FWM / MI**  
requires anomalous group velocity dispersion
- 2. Cascaded Non-Degenerate FWM**

# Cascaded FWM in a system with small FSR

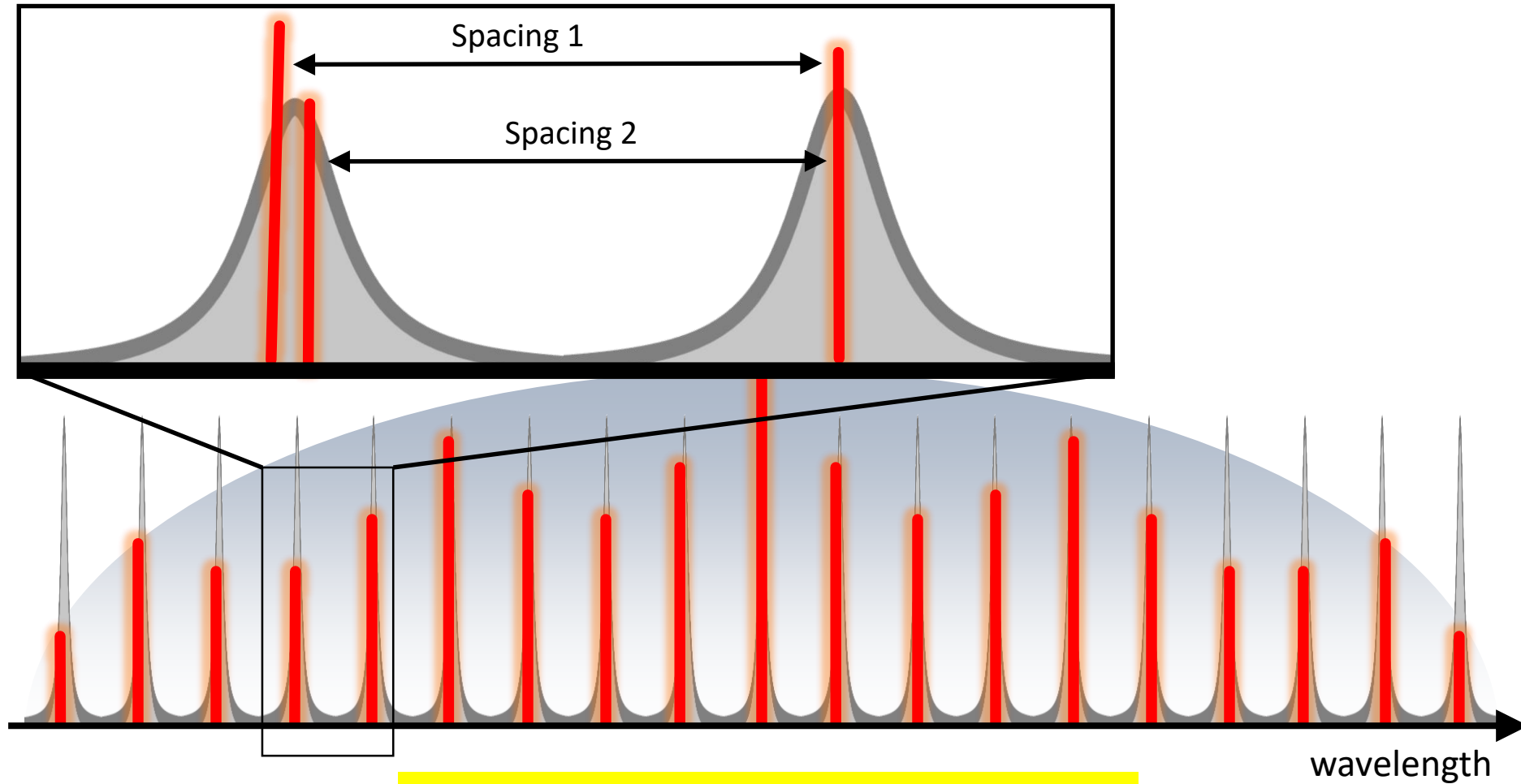
$$\Delta \neq n \cdot \delta$$

( $n=1,2, \dots$ )

Primary spacing  $\Delta$   
Secondary spacing  $\delta$



# Comb generation via cascaded FWM in a system with small FSR



Need to carefully tune system such that  $\Delta = n \cdot \delta$

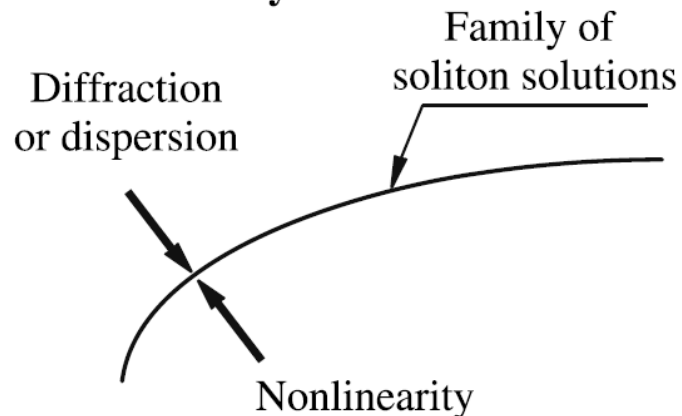
# Lugiato-Lefever-equation and soliton solution

The coupled mode equations can be transformed into the time domain  
(similar to what was done in the context of the NLSE)

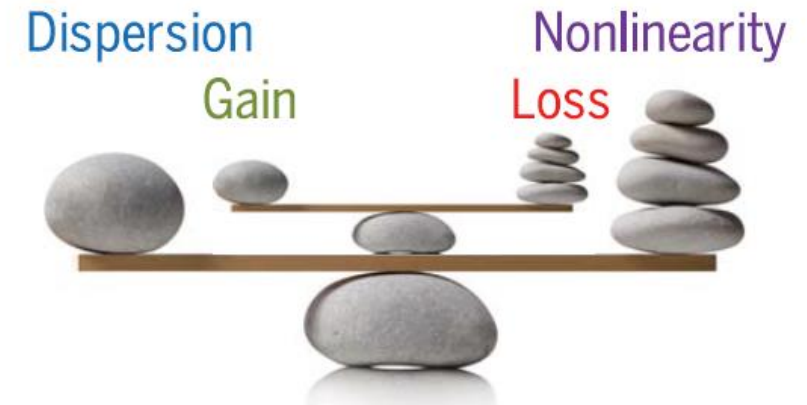
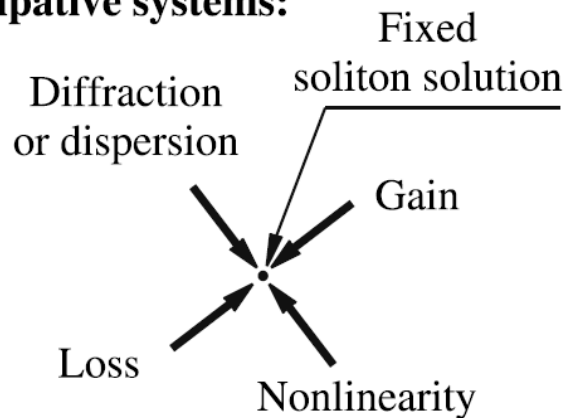
$$\frac{\partial A}{\partial t} - i\frac{1}{2}D_2\frac{\partial^2 A}{\partial \phi^2} - ig|A|^2 A = -\left(\frac{\kappa}{2} + i(\omega_0 - \omega_p)\right)A + \sqrt{\frac{\kappa\eta P_{\text{in}}}{\hbar\omega_0}}$$

- This equation is similar to the NLSE, but includes detuning, loss and external driving
- There is a “solitonic” solution with sech-shape envelope existing on top of a continuous wave background:  
→ **Dissipative solitons**

## Hamiltonian systems:



## Dissipative systems:





# Dissipative temporal cavity soliton properties

Frequency domain field:

$$\Psi(\omega - \omega_p) = \sqrt{d_2/2} \operatorname{sech}((\omega - \omega_p)/\Delta\omega)$$

$$\Delta\omega = \frac{2D_1}{\pi} \sqrt{\frac{\zeta_0}{d_2}}$$

$$d_2 = D_2/\kappa$$


Time domain:

$$\Psi(t) = \sqrt{2\zeta_0} \operatorname{sech}(t/\Delta t)$$

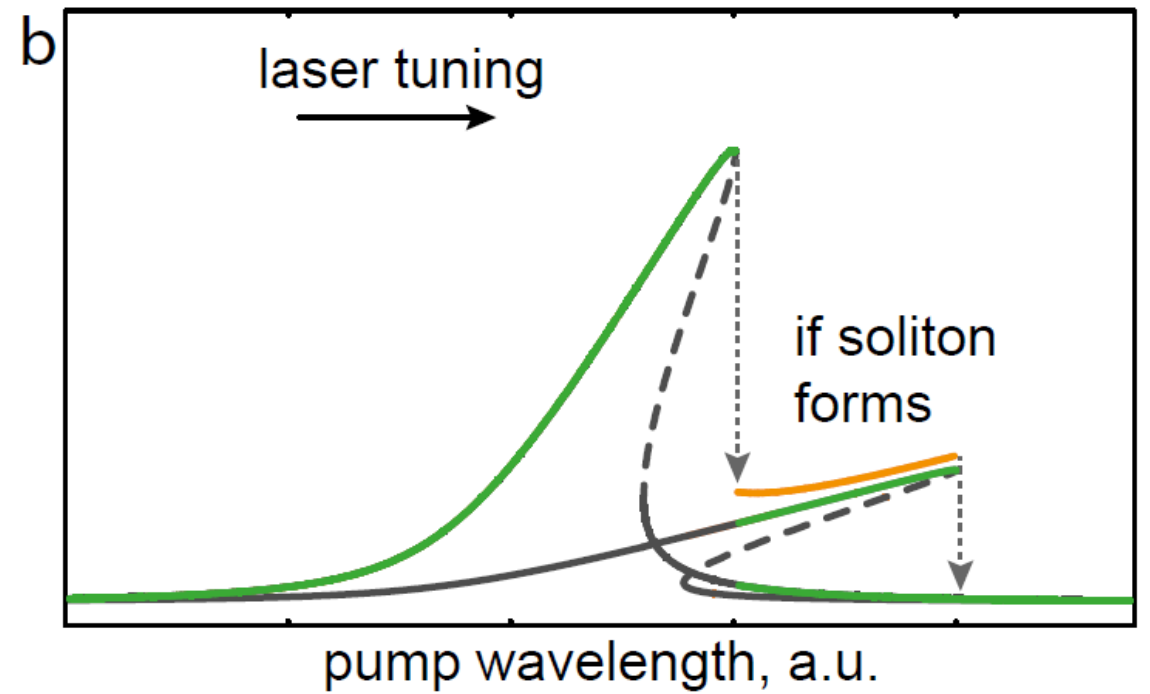
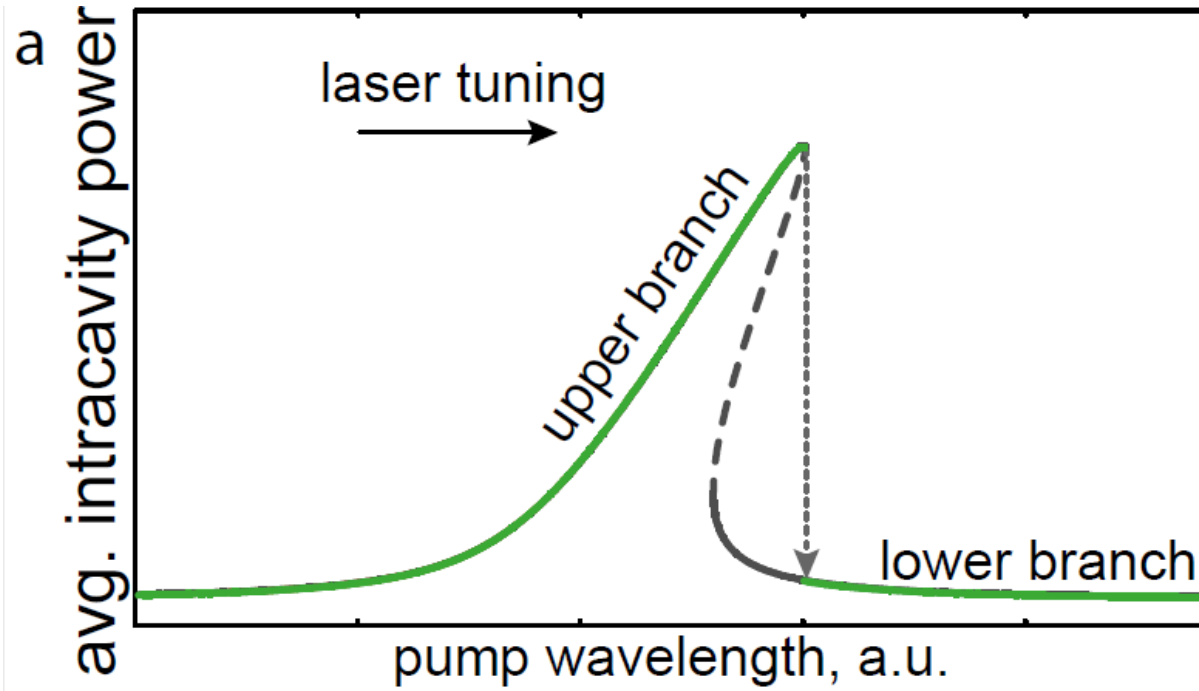
$$\Delta t = \frac{1}{D_1} \sqrt{\frac{d_2}{\zeta_0}}$$

$$\Delta t_{\min} = \frac{2}{\sqrt{\pi}} \sqrt{\frac{-\beta_2}{\gamma F P_{\text{in}}}}$$

After finding the maximal detuning



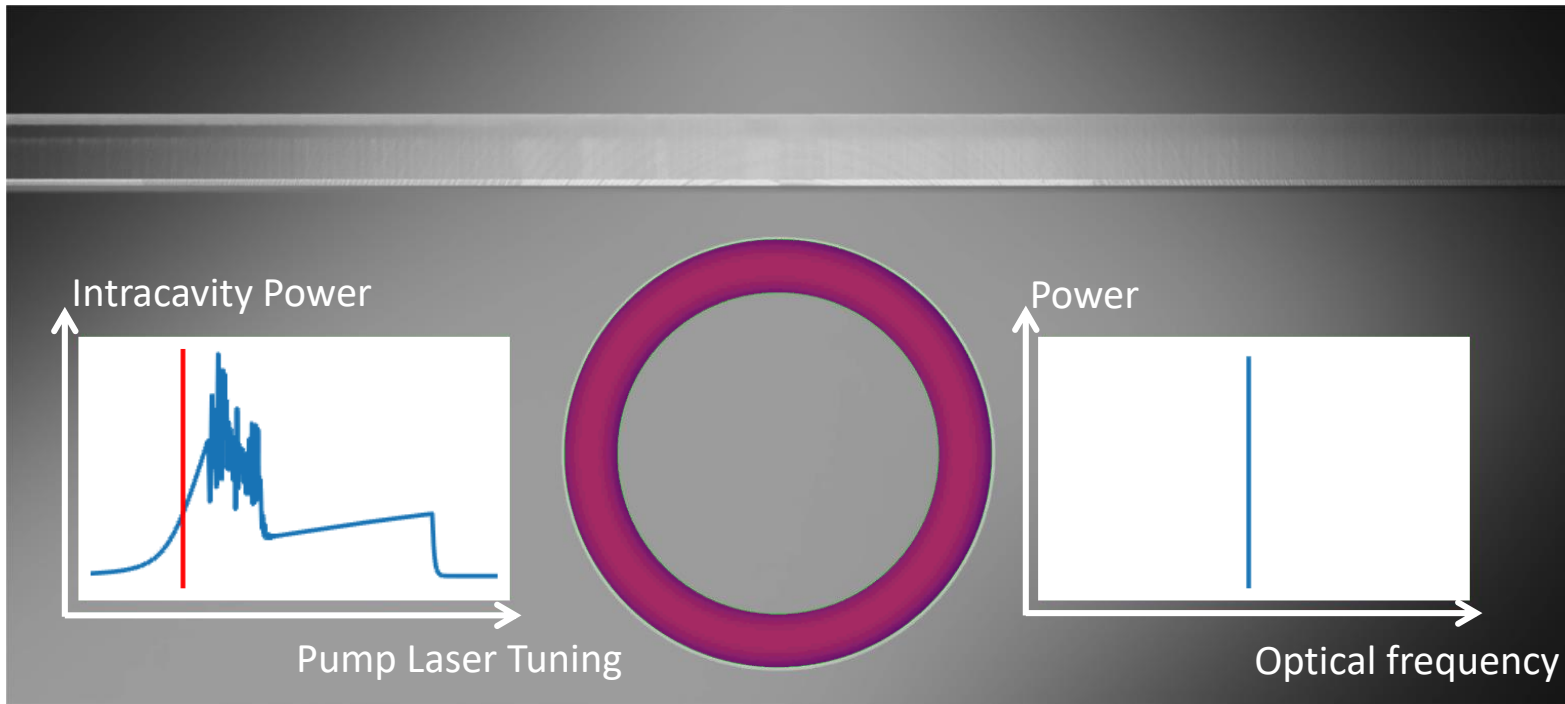
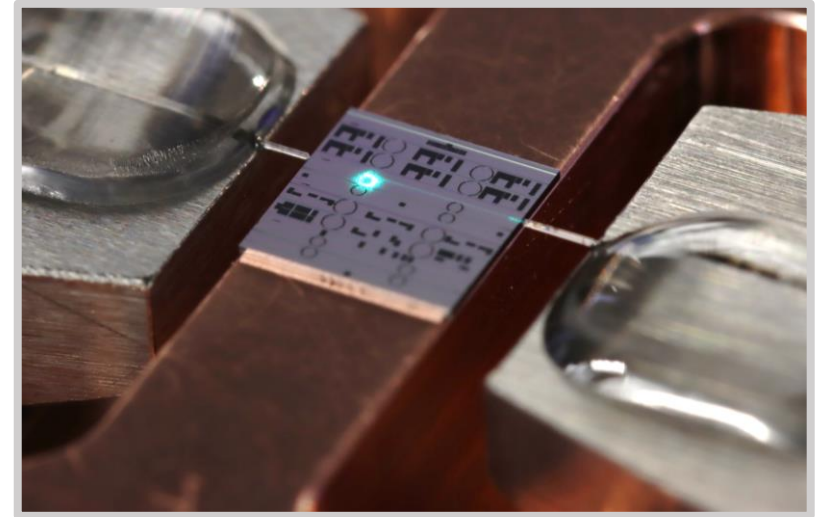
# Solitons and nonlinear resonance shape



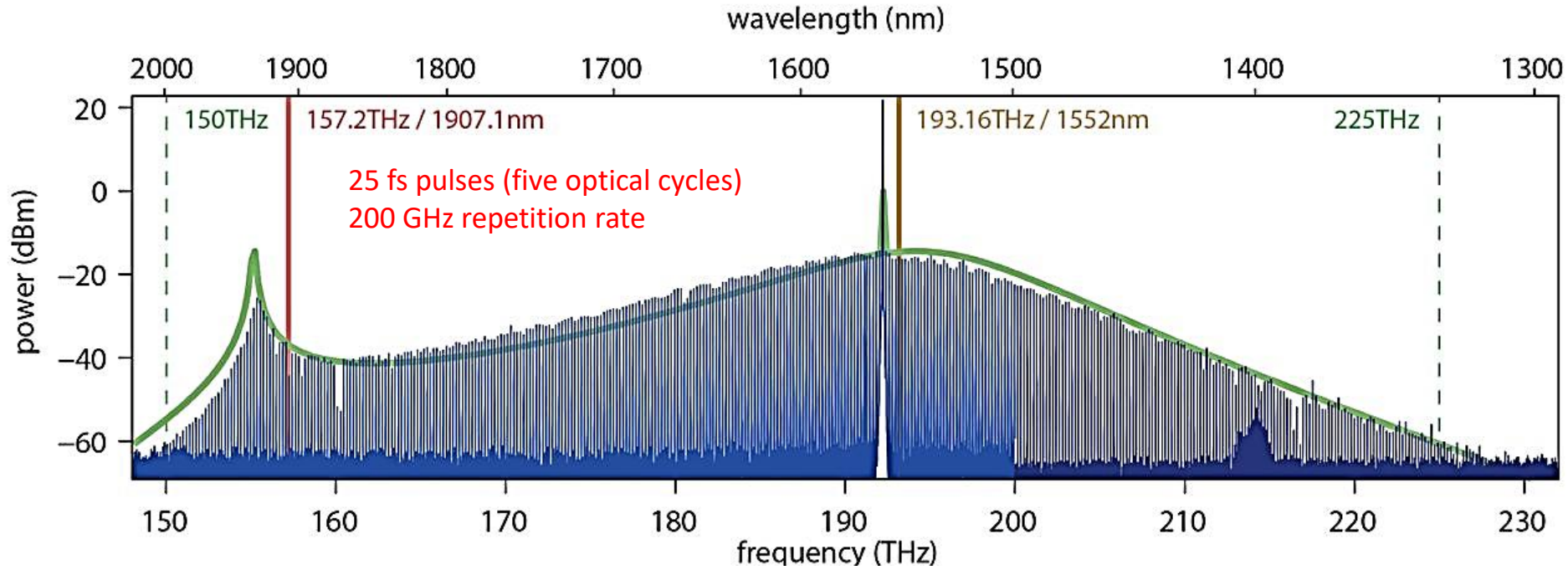
# Simulation of nonlinear dynamics

Based on coupled mode equations

$$\frac{\partial}{\partial \tau} a_{\mu} = - (1 + i\zeta_{\mu}) a_{\mu} + i \sum_{\mu', \mu'', \mu'''} a_{\mu'} a_{\mu''} a_{\mu'''}^* + \delta_{0\mu} f$$

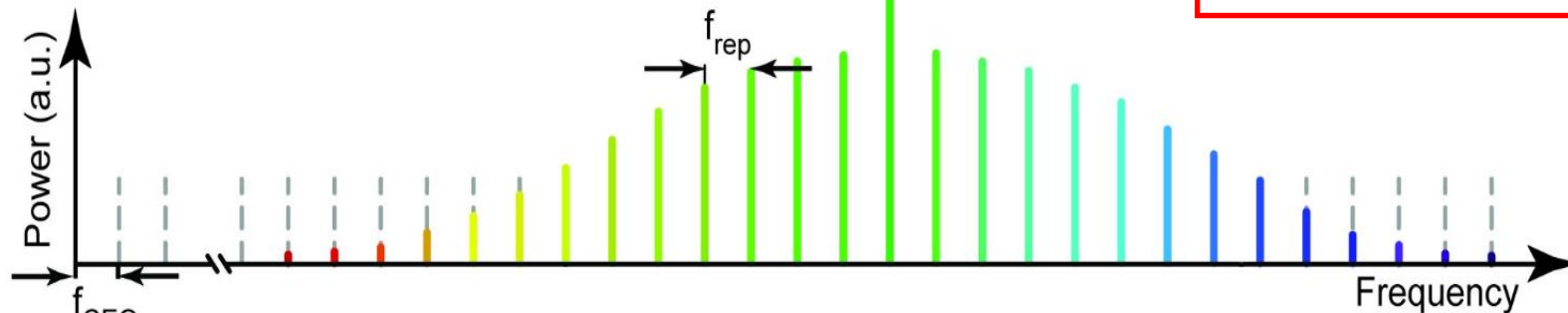


# Soliton frequency combs



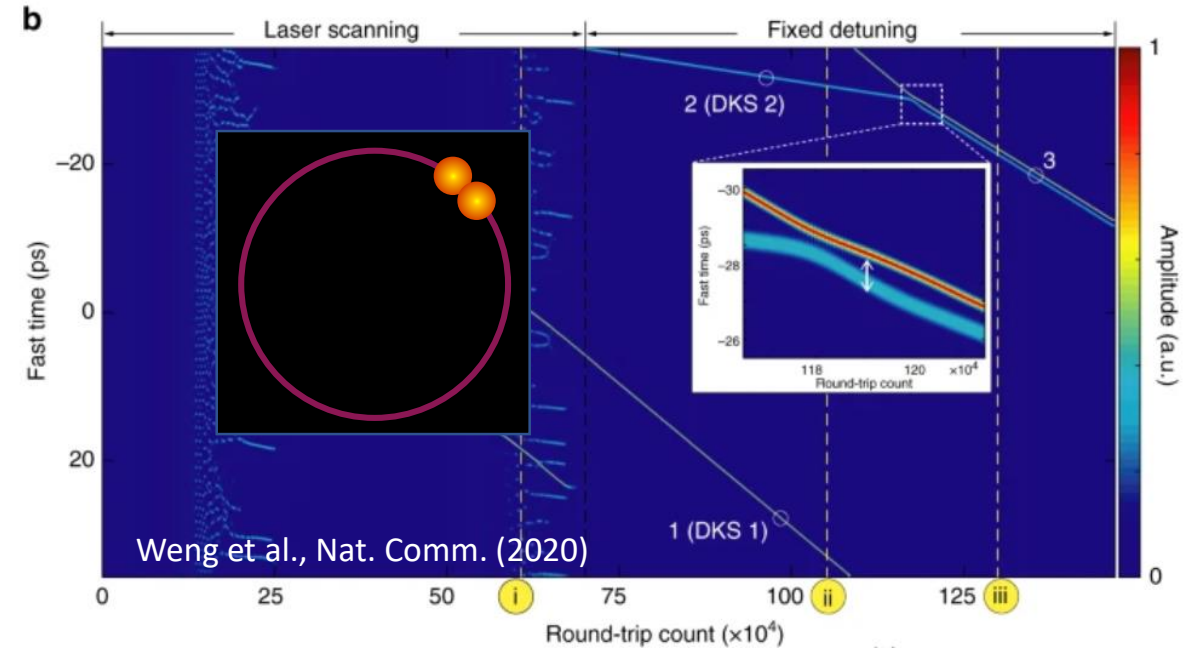
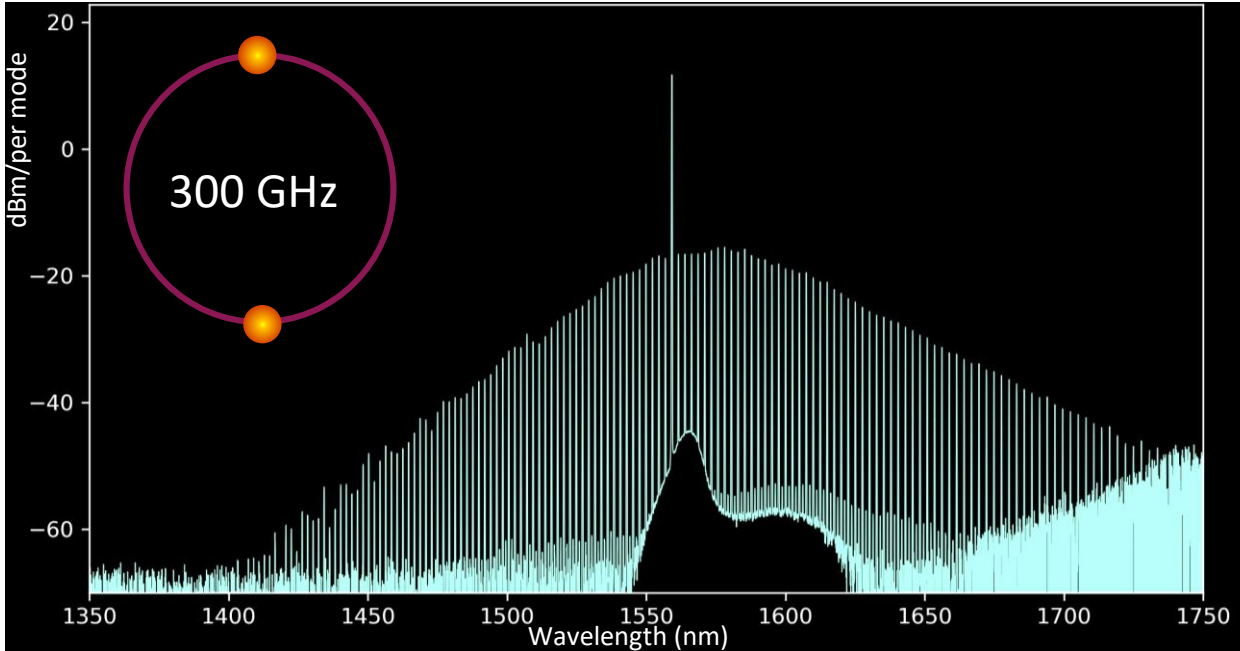
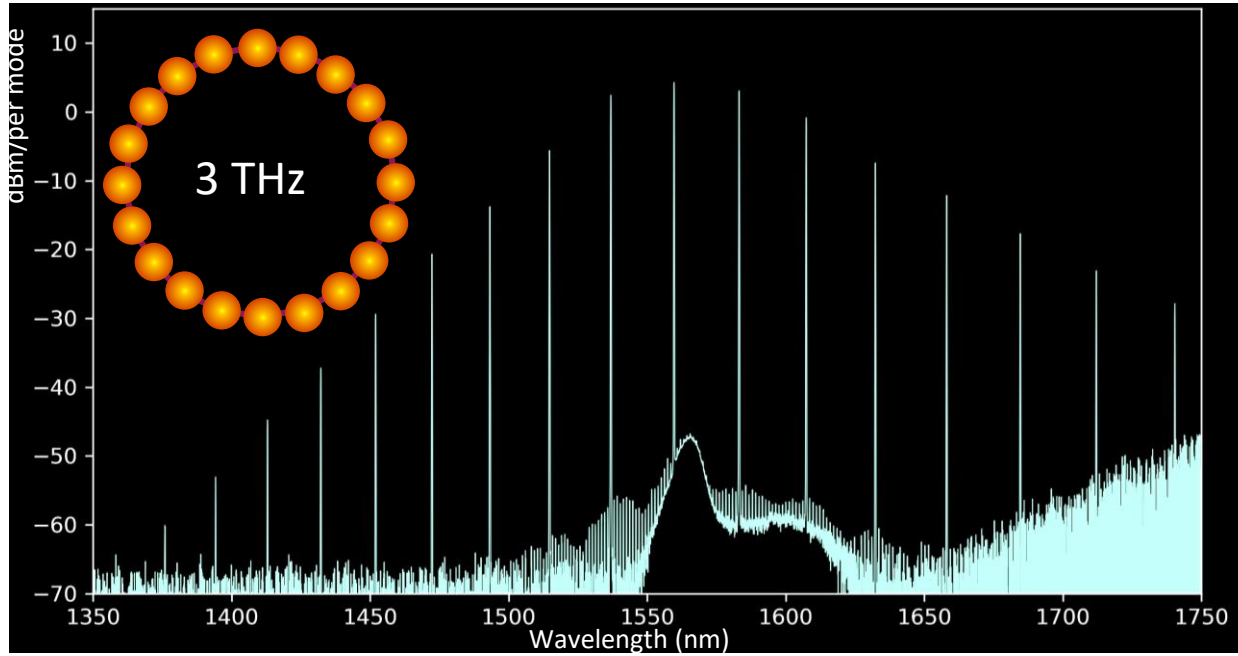
Frequency combs:

$$f_n = n \cdot f_{\text{rep}} + f_{\text{CEO}}$$



$n^{\text{th}}$  Comb Line at

# Soliton Crystals & Soliton Molecules





# Microresonator Solitons Applications

