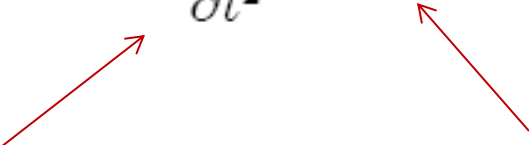


# UFS Lecture 6: Soliton Perturbation Theory

## 3 Nonlinear Pulse Propagation continued

- 3.4 Universality of the NSE
- 3.5 Soliton Perturbation Theory
- 3.6 Soliton Instabilities by Periodic Perturbations

### 3.4 Universality of NSE

$$j \frac{\partial A(z, t)}{\partial z} = -D_2 \frac{\partial^2 A}{\partial t^2} + \delta |A|^2 A$$


2<sup>nd</sup> order dispersion:  
Lowest order non-trivial  
linear effect in wave propagation

SPM: lowest order  
nonlinear effect in a  
homogeneous medium

NSE describes many phenomena related to nonlinear wave propagation:

- Self-focusing

- Langmuir waves in Plasma Physics

- Waves on Protein Molecules, ....

### 3.5 Soliton Perturbation Theory

$$\frac{\partial A(z, t)}{\partial z} = -j \left[ |D_2| \frac{\partial^2 A}{\partial t^2} + \delta |A|^2 A \right] + F(A, A^*, z)$$

Perfect World

Reality: Perturbations

What happens to the soliton in the presence of perturbations?

Will it fall apart?

Is it just kicked around? If yes, can we understand how it is kicked around?

**Ansatz: Solution of perturbed equation is a soliton + a small component:**

$$A(z, t) = \left[ a\left(\frac{t}{\tau}\right) + \Delta A(z, t) \right] e^{-jk_s z}$$

**with:**

$$a\left(\frac{t}{\tau}\right) = A_0 \operatorname{sech}\left(\frac{t}{\tau}\right) \quad k_s = \frac{1}{2} \delta A_0^2$$

# Soliton perturbation theory: a very brief introduction

$$\frac{\partial A(z, t)}{\partial z} = -j \left[ |D_2| \frac{\partial^2 A}{\partial t^2} + \delta |A|^2 A \right] + F(A, A^*, z)$$

Perfect World

Reality: Perturbations

Without perturbations

$$A_s(z, t) = A_0 \operatorname{sech}(x(z, t)) e^{-j\theta(z, t)}$$

$$x = \frac{1}{\tau} (t - 2|D_2|p_0 z - t_0)$$

$$\theta = p_0(t - t_0) + |D_2| \left( \frac{1}{\tau^2} - p_0^2 \right) z + \theta_0$$

$$\frac{\delta A_0^2}{2} = \frac{|D_2|}{\tau^2}$$

Four degrees of freedom:

energy fluence  $w$  or amplitude  $A_0$

carrier frequency  $p_0$

phase  $\theta_0$

origin  $t_0$

What happens to the soliton in the presence of perturbations? Will it fall apart?

Is it just kicked around? If yes, can we understand how it is kicked around?

# Soliton perturbation theory: a very brief introduction

$$\frac{\partial A(z,t)}{\partial z} = -j \left[ |D_2| \frac{\partial^2 A}{\partial t^2} + \delta |A|^2 A \right] + F(A, A^*, z)$$

Ansatz: Solution of perturbed equation is a soliton + a small component:

$$A(z,t) = \left[ a\left(\frac{t}{\tau}\right) + \Delta A(z,t) \right] e^{-jk_s z} \quad \text{with:} \quad a\left(\frac{t}{\tau}\right) = A_0 \operatorname{sech}\left(\frac{t}{\tau}\right) \quad k_s = \frac{1}{2} \delta A_0^2$$

Any deviation  $\Delta A$  can be decomposed into a contribution that leads to a soliton with a shift in the four soliton parameters and a continuum contribution:

$$\Delta A(z) = \underbrace{\Delta w(z)}_{\substack{\downarrow \\ \text{Energy} \\ \text{fluctuation}}} f_w + \underbrace{\Delta \theta(z)}_{\substack{\downarrow \\ \text{Optical} \\ \text{phase} \\ \text{fluctuation}}} f_\theta + \underbrace{\Delta p(z)}_{\substack{\downarrow \\ \text{Center} \\ \text{frequency} \\ \text{fluctuation}}} f_p + \underbrace{\Delta t(z)}_{\substack{\downarrow \\ \text{Timing} \\ \text{fluctuation}}} f_t + \underbrace{a_c(z)}_{\substack{\downarrow \\ \text{Continuum} \\ \text{background}}}$$

$f_w = \frac{\partial A}{\partial w}$   
 $f_\theta = \frac{\partial A}{\partial \theta}$   
 $f_p = \frac{\partial A}{\partial p}$   
 $f_t = \frac{\partial A}{\partial t}$

### 3.5 Soliton Perturbation Theory

$$\frac{\partial \Delta A}{\partial z} = -jk_s \left[ \left( \frac{\partial^2}{\partial x^2} - 1 \right) \Delta A + 2 \operatorname{sech}^2(x) (2\Delta A + \Delta A^*) \right] + F(A, A^*, z) e^{jk_s z},$$
$$x = t/\tau$$

Decompose into two coupled equations for real and imaginary part or use

$$\Delta \mathbf{A} = \begin{pmatrix} \Delta A \\ \Delta A^* \end{pmatrix}$$
$$\frac{\partial}{\partial z'} \Delta \mathbf{A} = \mathbf{L} \Delta \mathbf{A} + \frac{1}{k_s} \mathbf{F}(A, A^*, z) e^{jz'}$$

$$\mathbf{L} = -j\sigma_3 \left[ \left( \frac{\partial^2}{\partial x^2} - 1 \right) + 2 \operatorname{sech}^2(x) (2 + \sigma_1) \right]$$

Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

## 3.5 Soliton Perturbation Theory

Right sided eigen solutions or main solutions:

$$\mathbf{L} \mathbf{f} = \lambda \mathbf{f} \quad \mathbf{L}^n \mathbf{f}_j = \lambda \mathbf{f}$$

Discrete solutions:

$$\mathbf{f}_w(x) = \frac{1}{w}(1 - x \tanh x) a(x) \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\mathbf{f}_\theta(x) = -j a(x) \begin{pmatrix} 1 \\ -1 \end{pmatrix},$$

$$\mathbf{f}_p(x) = -j x \tau a(x) \begin{pmatrix} 1 \\ -1 \end{pmatrix},$$

$$\mathbf{f}_t(x) = \frac{1}{\tau} \tanh(x) a(x) \begin{pmatrix} 1 \\ 1 \end{pmatrix},$$

$$\mathbf{L} \mathbf{f}_w = \frac{1}{w} \mathbf{f}_\theta,$$

$$\mathbf{L} \mathbf{f}_\theta = 0,$$

$$\mathbf{L} \mathbf{f}_p = -2\tau^2 \mathbf{f}_t,$$

$$\mathbf{L} \mathbf{f}_t = 0.$$

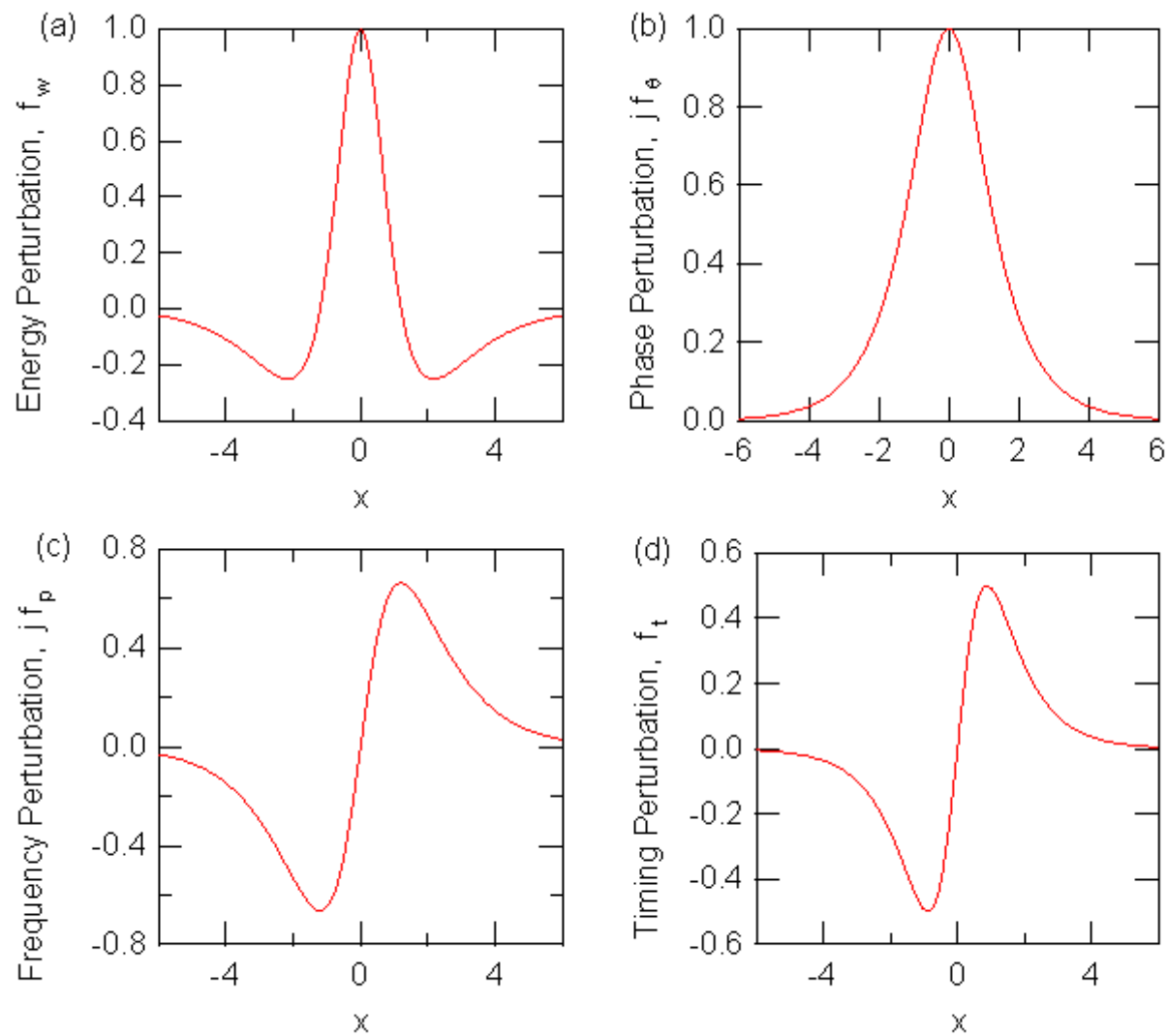


Figure 3.9: Perturbations in soliton amplitude (a), phase (b), frequency (c), and timing (d)



Continuum of solutions:

$$\begin{aligned}\mathbf{L}\mathbf{f}_k &= \lambda_k \mathbf{f}_k, \\ \lambda_k &= j(k^2 + 1), \\ \mathbf{f}_k(x) &= e^{-jkx} \begin{pmatrix} (k - j \tanh x)^2 \\ \operatorname{sech}^2 x \end{pmatrix}\end{aligned}$$

and:

$$\begin{aligned}\mathbf{L}\bar{\mathbf{f}}_k &= \bar{\lambda}_k \bar{\mathbf{f}}_k, \\ \bar{\lambda}_k &= -j(k^2 + 1) \\ \bar{\mathbf{f}}_k &= \sigma_1 \mathbf{f}_k.\end{aligned}$$

Define inner product: (Norm: Signal Energy)

$$\langle \mathbf{u} | \mathbf{v} \rangle = \frac{1}{2} \int_{-\infty}^{+\infty} \mathbf{u}^+(x) \mathbf{v}(x) dx$$

Then, the adjoint operator is:

$$\mathbf{L}^+ = -\sigma_3 \mathbf{L} \sigma_3$$

Note:  $\mathbf{L}$  is not self-adjoint, because the linearized NSE does not conserve energy

$\mathbf{L}^+$  has its own set of eigen solutions  $\mathbf{f}^{(+)}$  and eigen values  $\lambda^{(+)}$

$$\begin{aligned} \langle \mathbf{f}_k^{(+)} | \mathbf{f}_{k'} \rangle &= \delta(k - k'), & \langle \bar{\mathbf{f}}_k^{(+)} | \bar{\mathbf{f}}_{k'} \rangle &= \delta(k - k') \\ \langle \bar{\mathbf{f}}_k^{(+)} | \mathbf{f}_{k'} \rangle &= \langle \mathbf{f}_k^{(+)} | \bar{\mathbf{f}}_{k'} \rangle = 0. \end{aligned}$$

Completeness Relation:

$$\begin{aligned} \delta(x - x') &= \int_{-\infty}^{\infty} dk \left[ |\mathbf{f}_k \rangle \langle \mathbf{f}_k^{(+)}| + |\bar{\mathbf{f}}_k \rangle \langle \bar{\mathbf{f}}_k^{(+)}| \right] \\ &+ |\mathbf{f}_w \rangle \langle \mathbf{f}_w^{(+)}| + |\mathbf{f}_\theta \rangle \langle \mathbf{f}_\theta^{(+)}| \\ &+ |\mathbf{f}_p \rangle \langle \mathbf{f}_p^{(+)}| + |\mathbf{f}_t \rangle \langle \mathbf{f}_t^{(+)}|. \end{aligned}$$

Any perturbation can be written as:

$$\Delta \mathbf{A}(z') = \Delta w(z') \mathbf{f}_w + \Delta \theta(z') \mathbf{f}_\theta + \Delta p(z') \mathbf{f}_p + \Delta t(z') \mathbf{f}_t + \mathbf{a}_c(z')$$

Soliton, perturbed in amplitude, phase, center frequency and timing + Continuum

$$\mathbf{a}_c = \int_{-\infty}^{\infty} dk \left[ g(k) \mathbf{f}_k(x) + \bar{g}(k) \bar{\mathbf{f}}_k(x) \right]$$

Substitution into (3.20)

$$\int \mathbf{f}_j^{(+)+} \cdots dx \quad \left| \begin{aligned} & \frac{\partial \Delta w}{\partial z'} \mathbf{f}_w + \frac{\partial \Delta \theta}{\partial z'} \mathbf{f}_\theta + \frac{\partial \Delta p}{\partial z'} \mathbf{f}_p + \frac{\partial \Delta t}{\partial z'} \mathbf{f}_t + \frac{\partial}{\partial z'} \mathbf{a}_c = \\ & \mathbf{L} (\Delta w(z') \mathbf{f}_w + \Delta p(z') \mathbf{f}_p + \mathbf{a}(z')_c) + \frac{1}{k_s} \mathbf{F}(A, A^*, z') e^{-iz'} \end{aligned} \right.$$

Projecting out with the eigensolutions of the adjoint operator:

$$\begin{aligned} \frac{\partial}{\partial z'} \Delta w &= \frac{1}{k_s} \langle \mathbf{f}_w^{(+)} | \mathbf{F} e^{jz'} \rangle, \\ \frac{\partial}{\partial z'} \Delta \theta &= \frac{\Delta w}{w} + \frac{1}{k_s} \langle \mathbf{f}_\theta^{(+)} | \mathbf{F} e^{jz'} \rangle, \\ \frac{\partial}{\partial z'} \Delta p &= \frac{1}{k_s} \langle \mathbf{f}_p^{(+)} | \mathbf{F} e^{jz'} \rangle, \\ \frac{\partial}{\partial z'} \Delta t &= 2\tau \Delta p + \frac{1}{k_s} \langle \mathbf{f}_t^{(+)} | \mathbf{F} e^{jz'} \rangle, \\ \frac{\partial}{\partial z'} g(k) &= j(1 + k^2)g(k) + \frac{1}{k_s} \langle \mathbf{f}_k^{(+)} | \mathbf{F}(A, A^*, z') e^{jz'} \rangle \end{aligned}$$

Gordon's associated function:

$$G(x) = \int_{-\infty}^{\infty} g(k) e^{ikx} dk.$$

Only dispersion:

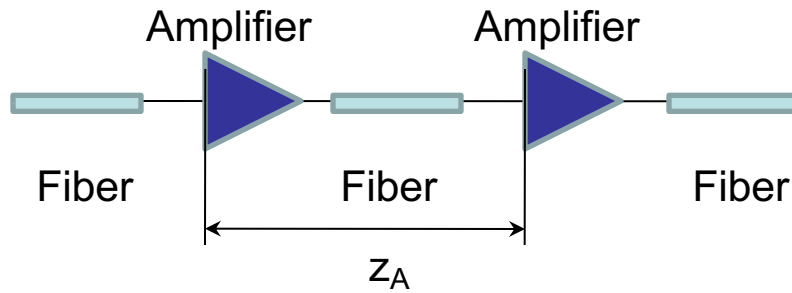
$$\frac{\partial G(z', x)}{\partial z'} = -j \left( 1 + \frac{\partial^2}{\partial x^2} \right) G(z', x)$$

One can show that:

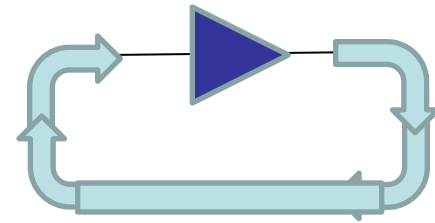
$$a_c = -\frac{\partial^2 G(x)}{\partial x^2} + 2 \tanh(x) \frac{\partial G(x)}{\partial x} - \tanh^2(x) G(x) + G^*(x) \operatorname{sech}^2(x)$$

## 3.6 Soliton Instabilities by Periodic Perturbations

Long haul opt. communication link



Modelocked fiber laser



$$F(A, A^*, z) = \xi \sum_{n=-\infty}^{\infty} \delta(z - nz_A) A(z, t).$$

$$\frac{\partial}{\partial z} g(k) = jk_s(1 + k^2)g(k) + \langle \mathbf{f}_k^{(+)} | \mathbf{F}(A, A^*, z) e^{jk_s z} \rangle .$$

$$\langle \mathbf{f}_k^{(+)} | \mathbf{F}(A, A^*, z) e^{jk_s z} \rangle = \xi \sum_{n=-\infty}^{\infty} \delta(z - nz_A) \frac{1}{2} \cdot \quad (3.67)$$

$$\begin{aligned} & \int_{-\infty}^{+\infty} \frac{1}{\pi(k^2 + 1)^2} e^{jkx} \begin{pmatrix} (k + j \tanh x)^2 \\ -\text{sech}^2 x \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix} A_0 \text{sech} x \, dx \\ = & \xi \sum_{n=-\infty}^{\infty} \delta(z - nz_A) \cdot \quad (3.68) \\ & \int_{-\infty}^{+\infty} \frac{A_0}{2\pi(k^2 + 1)^2} e^{jkx} (k^2 + 2jk \tanh x - 1) \cdot \text{sech} x \, dx \end{aligned}$$

$$\frac{d}{dx} \text{sech} x = -\text{sech} x \tanh x, \quad \text{use partial Integration}$$

$$\text{Using } \sum_{n=-\infty}^{\infty} \delta(z - nz_A) = \frac{1}{z_A} \sum_{m=-\infty}^{\infty} e^{jm \frac{2\pi}{z_A} z}$$

$$\frac{\partial}{\partial z} g(k) = jk_s(1 + k^2)g(k) - \frac{\xi}{z_A} \sum_{m=-\infty}^{\infty} e^{jm \frac{2\pi}{z_A} z} \frac{A_0}{4(k^2 + 1)} \text{sech} \left( \frac{\pi k}{2} \right)$$

## 3.6 Soliton Instabilities by Periodic Perturbations

$$g(k, z) = C(k, z)e^{jk_s(1+k^2)z}$$

$$\frac{\partial}{\partial z}C(k, z) = -\frac{\xi}{z_A} \sum_{m=-\infty}^{\infty} \frac{A_0}{4(k^2 + 1)} \operatorname{sech}\left(\frac{\pi k}{2}\right) e^{-j\left(k_s(1+k^2)-m\frac{2\pi}{z_A}z\right)}$$

initial conditions  $C(z = 0) = 0$

$$C(k, z) = -\frac{\xi}{z_A} \frac{A_0}{4(k^2 + 1)} \operatorname{sech}\left(\frac{\pi k}{2}\right) \cdot \sum_{m=-\infty}^{\infty} \frac{e^{j(-k_s(1+k^2)+m\frac{2\pi}{z_A})z} - 1}{m\frac{2\pi}{z_A} - k_s(1 + k^2)}.$$

**Resonance catastrophe:**  $m\frac{2\pi}{z_A} - k_s(1 + k_m^2) = 0$

$$\text{or} \quad k_m = \pm \sqrt{\frac{m\frac{2\pi}{z_A}}{k_s} - 1}.$$

$$k_m = \pm \sqrt{\frac{m \frac{2\pi}{z_A}}{k_s} - 1}. \quad k = \omega\tau, k_s = |D_2|/\tau^2$$

$$\phi_0 = k_s z_A$$

$$\omega_m = \pm \frac{1}{\tau} \sqrt{\frac{2m\pi}{\phi_0} - 1},$$

$$C(\omega, z) = -j \frac{\xi}{z_A} \frac{A_0}{4((\omega\tau)^2 + 1)} \operatorname{sech}\left(\frac{\pi\omega\tau}{2}\right)$$

$$\cdot \sum_{m=-\infty}^{\infty} z_A \frac{e^{j(-k_s(1+(\omega\tau)^2)+m\frac{2\pi}{z_A})z} - 1}{2\pi m - \phi_0(1 + (\omega\tau)^2)}.$$



## Kelly Sidebands

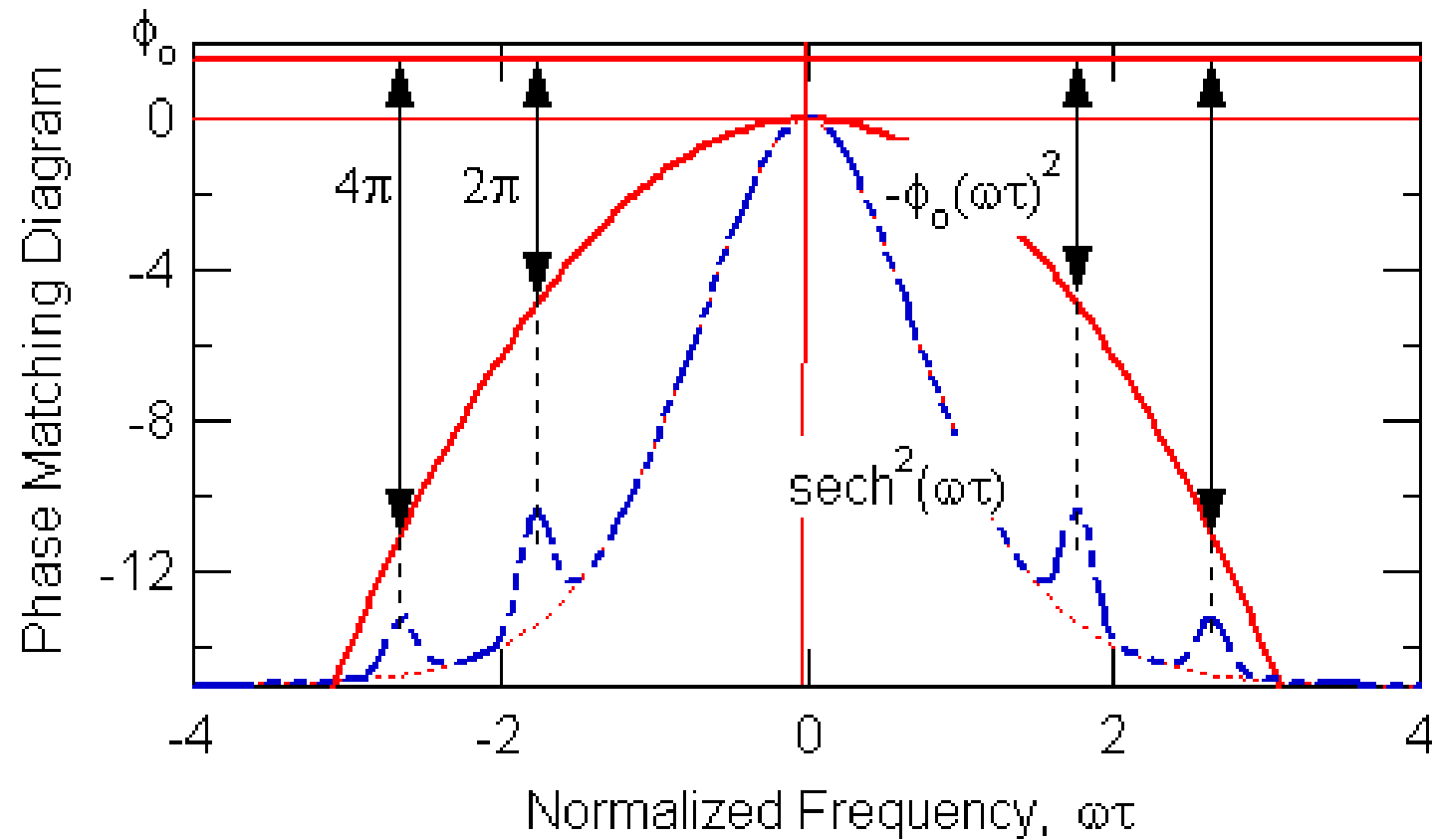


Figure 3.10: Phase matching of soliton and continuum

**Avoid resonance catastrophe for:**  $\omega_m \gg \frac{1}{\tau} \longrightarrow \phi_0 \ll \pi/4$

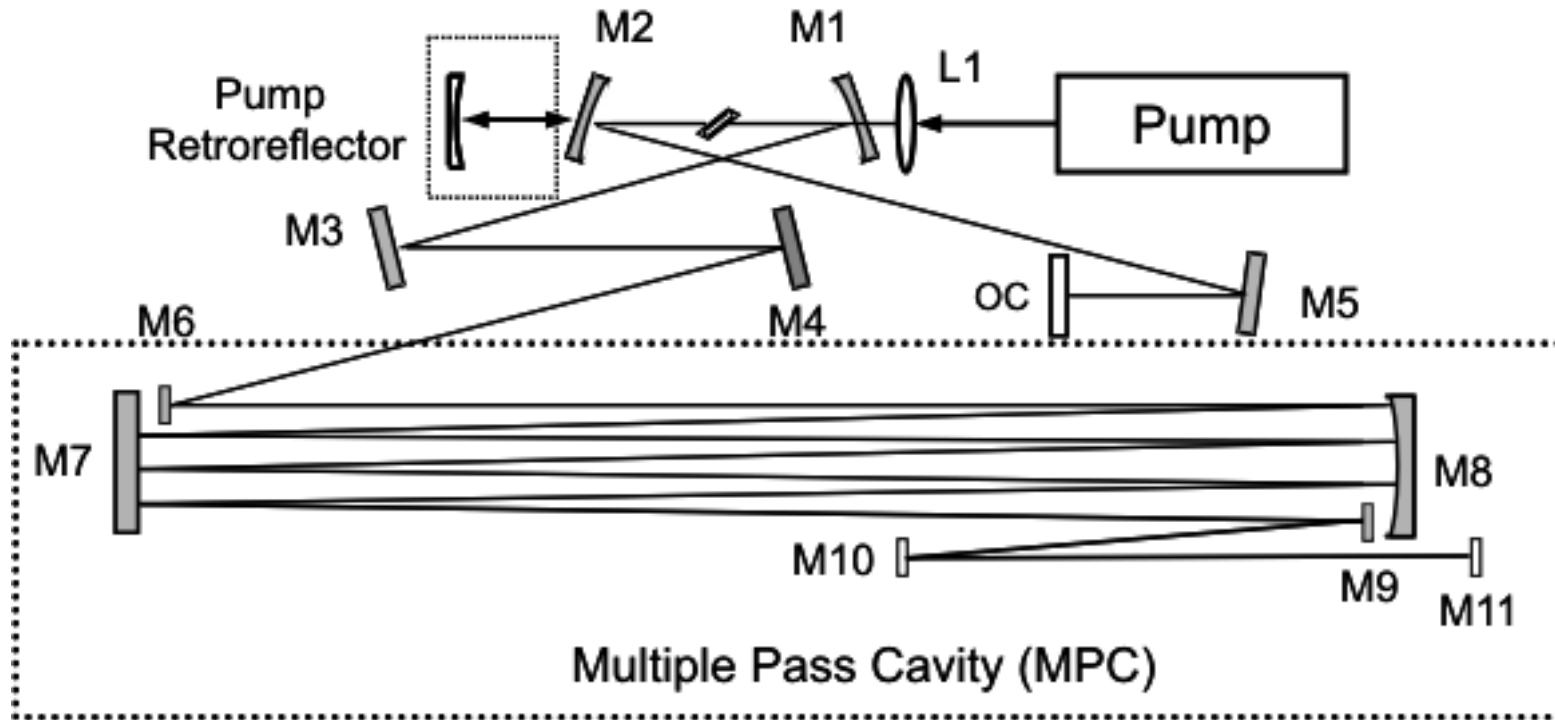


Figure 3.11: Layout of a multiple pass (MPC) cavity laser.

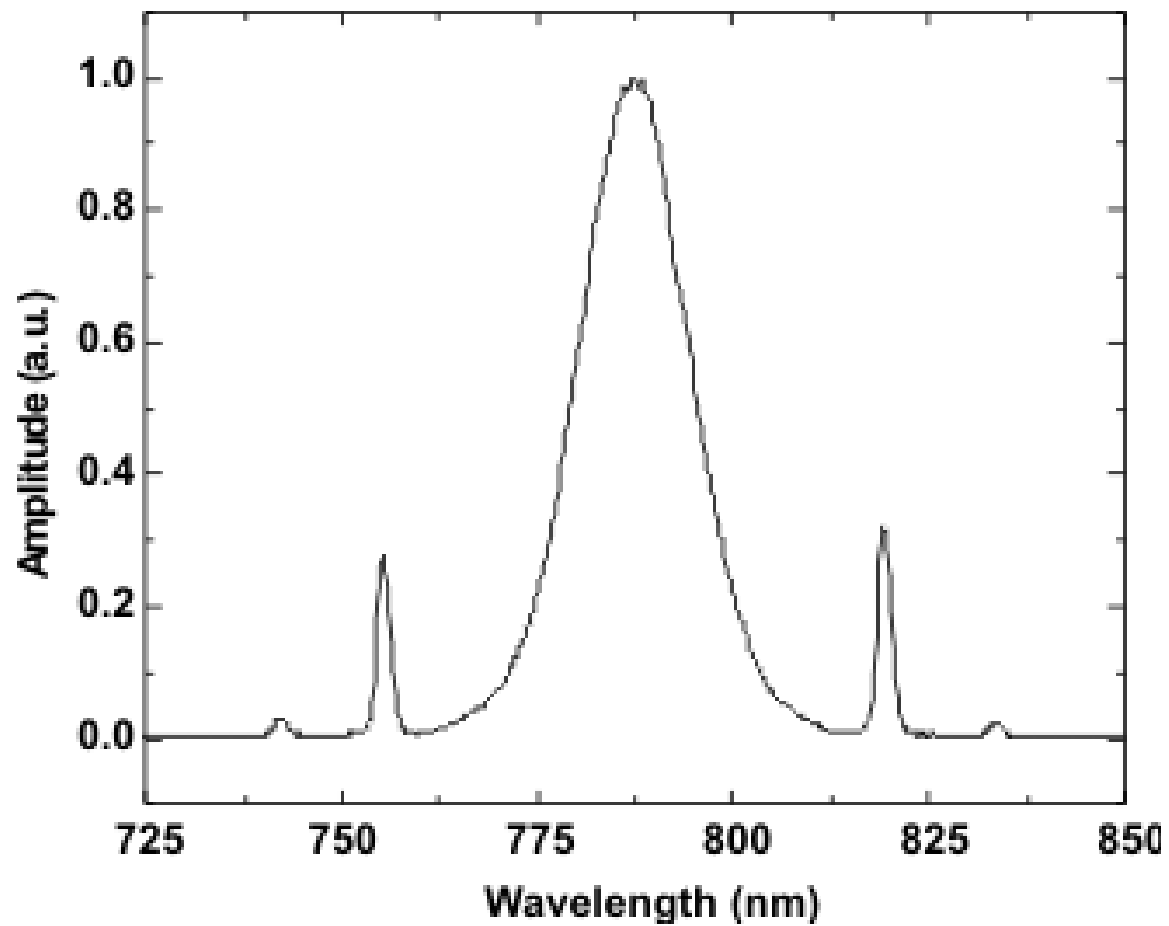


Figure 3.12: Measured modelocked spectrum with Kelly sidebands

# Dispersive Wave (Third Order Dispersion)

$$j \frac{\partial A(z,t)}{\partial z} = -D_2 \frac{\partial^2 A}{\partial t^2} + jD_3 \frac{\partial^3 A}{\partial t^3} + \delta |A|^2 A$$

# Modulation Instability

$$j \frac{\partial A(z,t)}{\partial z} = -D_2 \frac{\partial^2 A}{\partial t^2} + \delta |A|^2 A$$

$$A(z, t) = \left( \sqrt{P_o} + a(z, t) \right) \exp(\phi_{NL}(z)) \quad \phi_{NL}(z) = \delta P_o \cdot z$$

Linearized Analysis: See Agrawal Nonlinear Fiber Optics Page 105

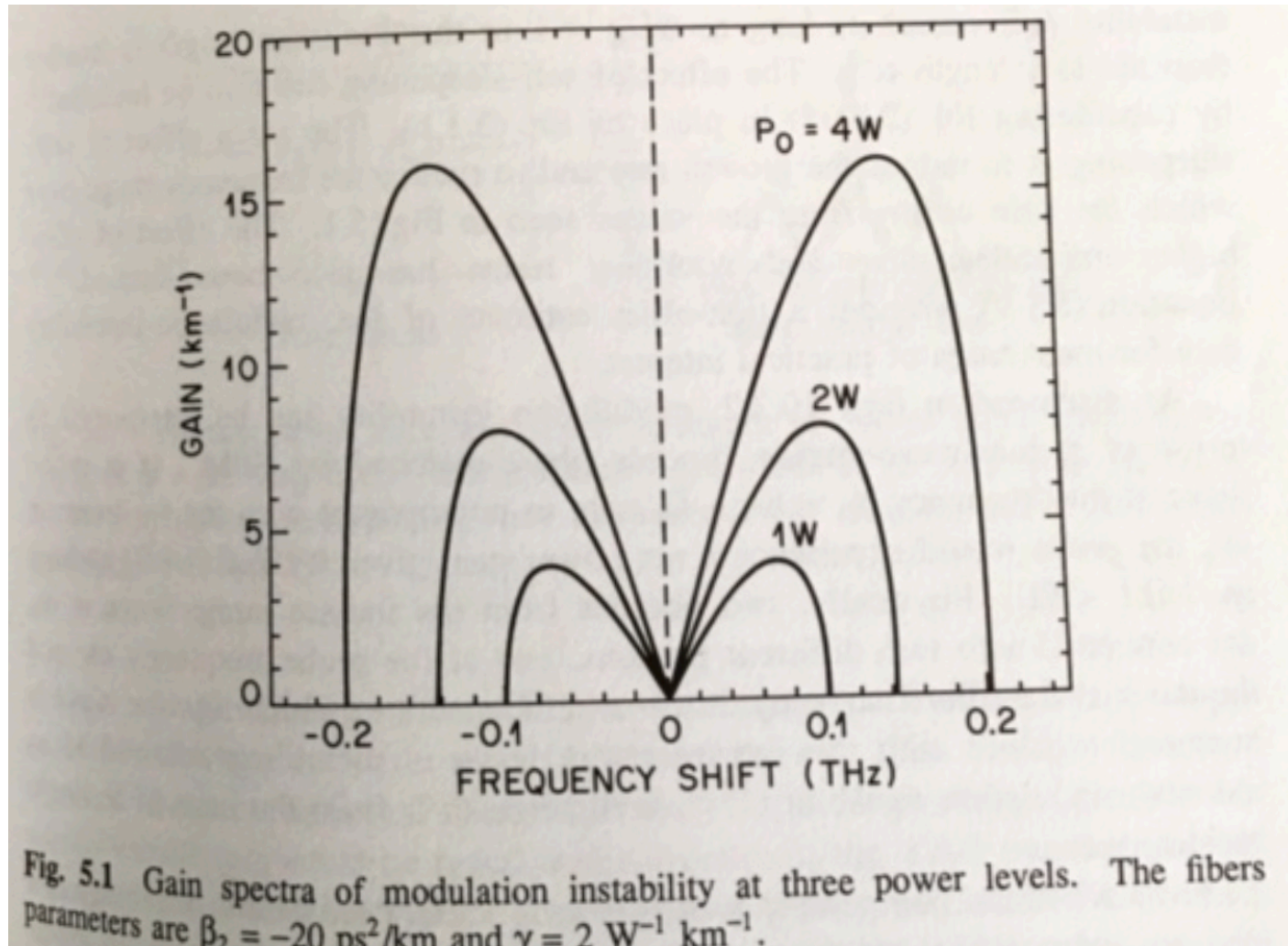
$$j \frac{\partial a(z,t)}{\partial z} = -D_2 \frac{\partial^2 a}{\partial t^2} + \delta P_o (a + a^*)$$

$$\text{Ansatz: } a(z, t) = a_1 \cos(Kz - \Omega t) + ja_2 \sin(Kz - \Omega t)$$

$$K = \pm |D_2| \Omega \sqrt{\Omega^2 + \text{sign}(D_2) \Omega_c^2}$$

$$\Omega_c^2 = \frac{2\delta P_o}{|D_2|} = \frac{2}{|D_2| L_{NL}} \quad \text{with nonlinear length } L_{NL} = \frac{1}{\delta P_o}$$

# Modulation Instability



# Rogue wave



Find more information from New York times:

<http://www.nytimes.com/2006/07/11/science/11wave.html>

# One more Rogue wave

