

Nonlinear Optics (WiSe 2018/19)

Lecture 3: November 2, 2018

4 Frequency doubling

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Repetition: Nonlinear Wave Equation

Employing the dispersion relation $k^2 = \mu_0 \varepsilon_0 \varepsilon_r \omega^2$ the two leading terms cancel, and within the SVEA (3.6),(3.7) it follows

$$2jk \frac{\partial}{\partial z} E + 2j \frac{\omega}{c^2} \frac{\partial}{\partial t} E = -j\omega \mu_0 \sigma E + \mu_0 \omega^2 P_{NL} (\hat{\mathbf{e}} \cdot \hat{\mathbf{p}}) e^{j(k-k_p)z},$$

where we introduced the velocity of light in the linear medium as $c = \sqrt{\mu_0 \varepsilon_0 \varepsilon_r}^{-1}$. We divide this equation by $2jk$ and transform it into a comoving time frame using $t' = t - z/c$, ($z = z'$), and obtain

$$\frac{\partial}{\partial z} E(z, t') = -\alpha E(z, t') - \frac{1}{2} j\omega Z_\omega P_{NL}(z, t') (\hat{\mathbf{e}} \cdot \hat{\mathbf{p}}) e^{j(k-k_p)z}, \quad (3.8)$$

with the damping constant $\alpha = \sigma Z_\omega / 2$ and the impedance of the medium $Z_\omega = \frac{1}{\varepsilon_0 \sqrt{\varepsilon_{r,\omega} c_0}} = \sqrt{\frac{\mu_0}{\varepsilon_0 \varepsilon_{r,\omega}}}$.

Some remarks on

$$\frac{\partial}{\partial z} E(z, t') = -\alpha E(z, t') - \frac{1}{2} j\omega Z_\omega P_{NL}(z, t') (\hat{\mathbf{e}} \cdot \hat{\mathbf{p}}) e^{j(k-k_p)z}, \quad (3.8)$$

- The medium **conductivity σ** leads to **losses** and therefore **damping** of the propagating wave.
- The medium's nonlinear polarization can lead to both **gain or damping**, depending on the **relative phase** between the electric field and the polarization (parametric amplification, frequency conversion, stimulated scattering processes as Raman and Brillouin scattering, multi-photon absorption).
- If the nonlinear polarization is **in phase or in opposite phase** of the electric field, it corresponds to a nonlinear change of the refractive index, leading to a **phase shift of the electric field** (Pockels effect, Kerr effect).
- If the polarization is advancing the field by 90° , the polarization is supplying energy to the field. In the opposite case, the polarization is extracting energy from the field.
- **phase relation is changing during propagation**, if no phase matching of the process, i.e., $k = k_p$, is achieved.

4. Frequency doubling

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PHYSICAL REVIEW LETTERS

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GENERATION OF OPTICAL HARMONICS*

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(Received July 21, 1961)

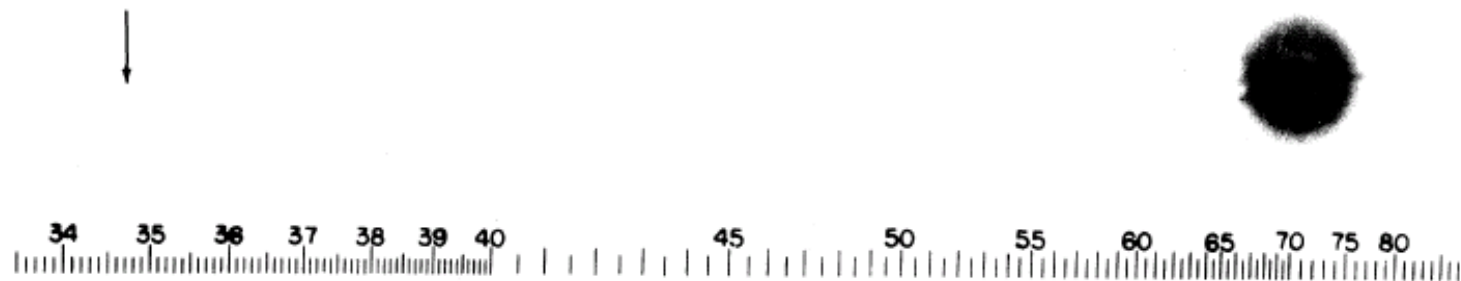
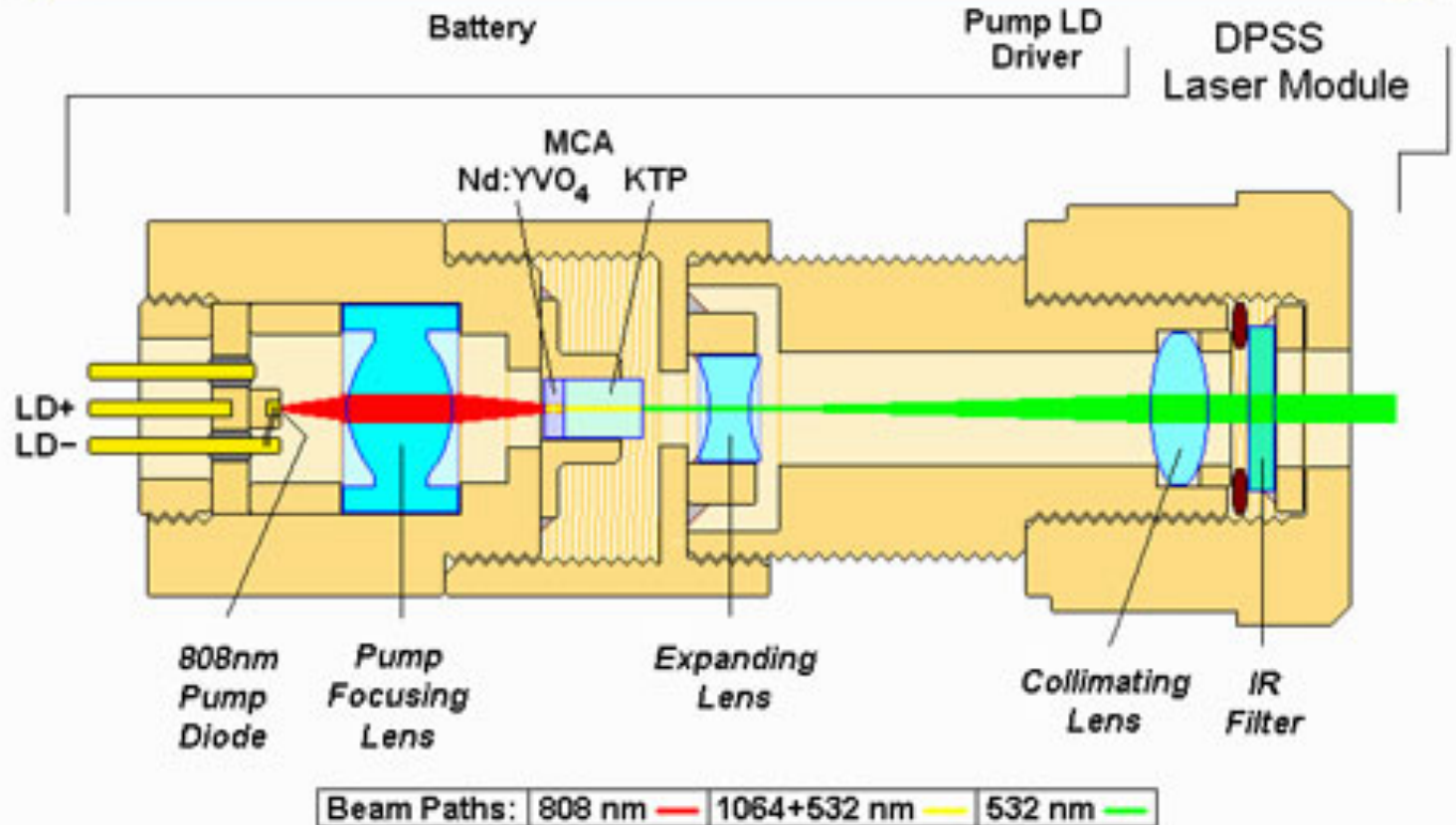


FIG. 1. A direct reproduction of the first plate in which there was an indication of second harmonic. The wavelength scale is in units of 100 A. The arrow at 3472 A indicates the small but dense image produced by the second harmonic. The image of the primary beam at 6943 A is very large due to halation.

The very weak spot due to the second harmonic is missing. It was removed by an overzealous Physical Review Letters editor, who thought it was a speck of dirt and didn't ask the authors anymore.

SHG in daily life: green laser pointer



Second harmonic generation (SHG)

$$\hat{P}(2\omega) = \varepsilon_0 d_{eff}(2\omega; \omega, \omega) \hat{E}(\omega, z) \hat{E}(\omega, z). \quad (4.1)$$

We neglect any losses for the moment ($\alpha = 0$), and $Z_\omega = \frac{1}{n_\omega} \sqrt{\frac{\mu_0}{\varepsilon_0}} = \frac{1}{n_\omega} \frac{1}{\varepsilon_0 c_0}$ from Eq..(3.8)

$$\frac{\partial \hat{E}(2\omega)}{\partial z} = -\frac{j\omega}{n_{2\omega} c_0} d_{eff}(2\omega; \omega, \omega) \hat{E}(\omega, z) \hat{E}(\omega, z) e^{j(k(2\omega) - 2k(\omega))z} \quad (4.2)$$

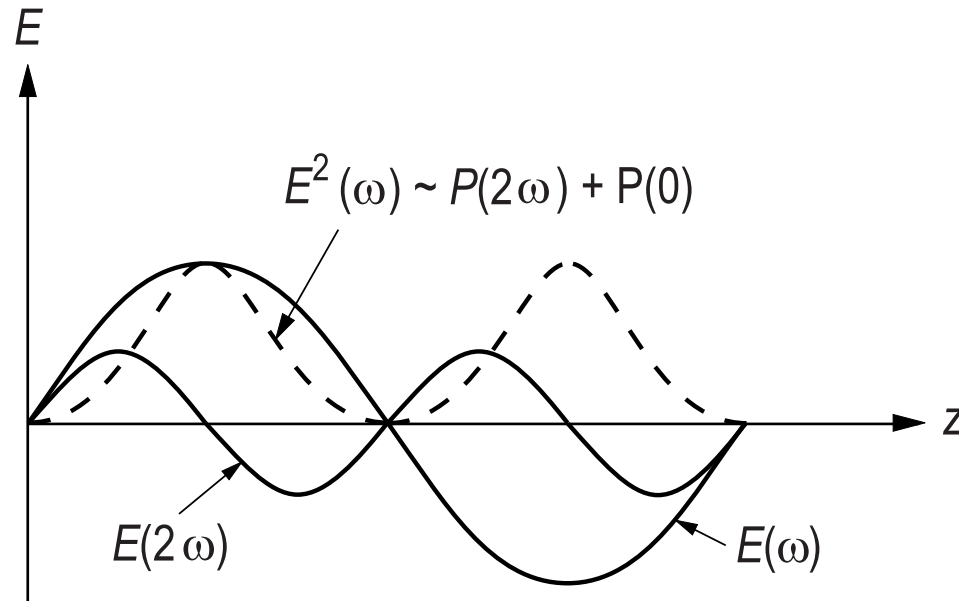


Fig. 1: Phase relationships between fundamental, second harmonic and nonlinear polarization.

4.1 Without depletion of fundamental wave

$$\hat{E}(2\omega, z = \ell) = -\frac{j\omega d_{eff}}{n_{2\omega}c_0} \hat{E}^2(\omega) \int_0^\ell e^{j\Delta kz} dz$$

where $\Delta k = k(2\omega) - 2k(\omega)$ is the difference in wave number between the second harmonic light and twice the wavenumber of the fundamental light or the driving second order nonlinear Polarization.

Second-harmonic generation (SHG)

$$\hat{E}(2\omega, \ell) = -\frac{j\omega d_{eff}}{n_{2\omega}c_0} \hat{E}^2(\omega)\ell \cdot \left[\frac{\sin \Delta k\ell/2}{\Delta k\ell/2} \right] e^{j\Delta k\ell/2}. \quad (4.3)$$

Introducing the intensities of the fundamental and second harmonic waves

$$I_{\omega,2\omega} = \frac{n_{\omega,2\omega}}{2} \sqrt{\varepsilon_0/\mu_0} |\hat{E}_{\omega,2\omega}|^2$$

wie obtain

$$I(2\omega, \ell) = \frac{2\omega^2 d_{eff}^2}{n_{2\omega} n_\omega^2 c_0^3 \varepsilon_0} \ell^2 I^2(\omega) \left[\frac{\sin \Delta k\ell/2}{\Delta k\ell/2} \right]^2. \quad (4.4)$$

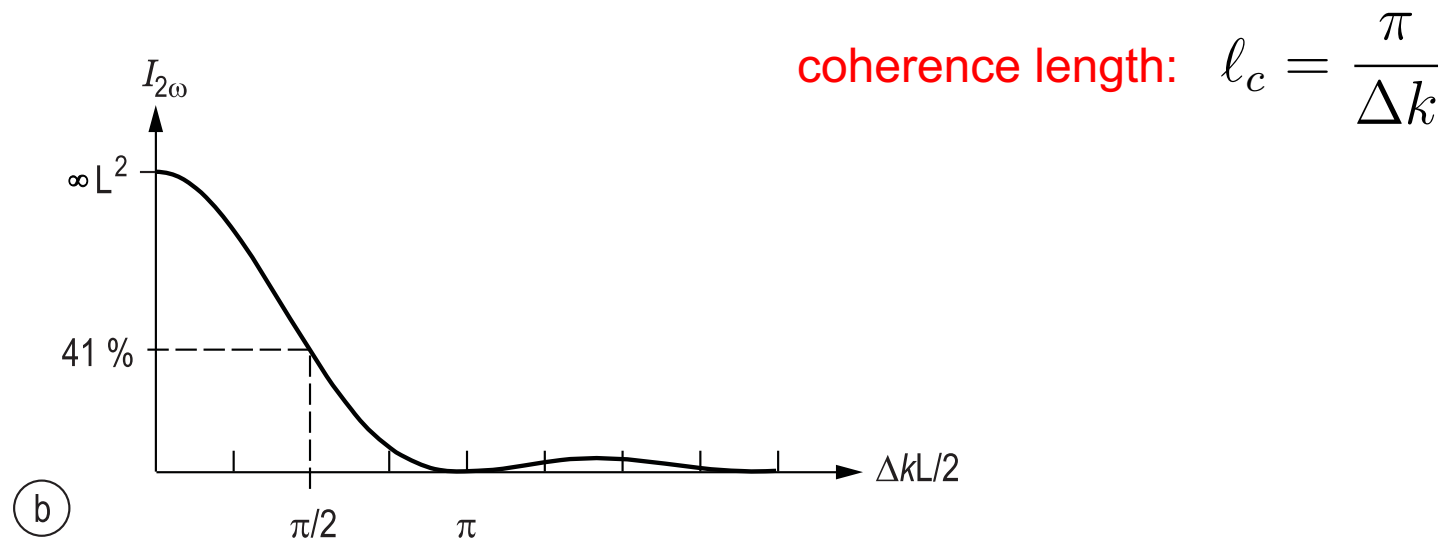
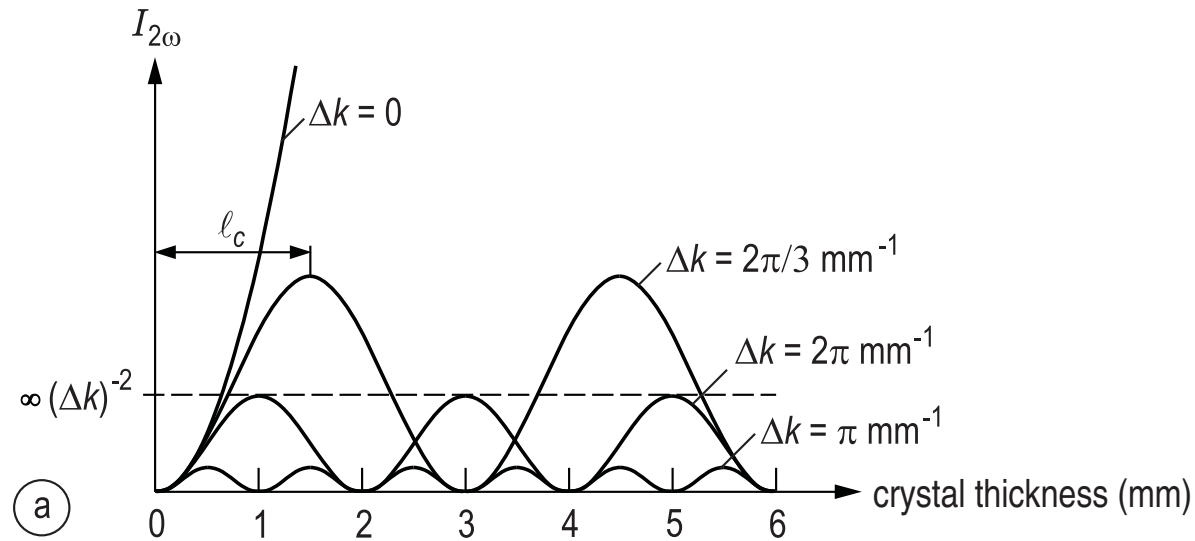


Figure 4.2: Second-harmonic generation as function of phase mismatch.

If phase matching can be achieved, one can use Eq. (4.4) to define an inverse conversion length Γ as

$$\Gamma = \frac{\omega d_{eff}}{nc} |\hat{E}(\omega)|, \text{ with } n = \sqrt{n_\omega n_{2\omega}}, \quad (4.6)$$

and

$$I(2\omega, \ell) = \Gamma^2 \ell^2 I(\omega). \quad (4.7)$$

If the medium length reaches the conversion length, i.e., $\Gamma \ell = 1$, then Eq. (4.7) would indicate, that all fundamental light is converted to the second harmonic, which contradicts the assumption of small conversion, and therefore we have to work a little more to correct for it.

4.2 With depletion of the fundamental wave

$$\hat{P}(\omega) = \varepsilon_0 d'_{eff}(\omega; 2\omega, -\omega) \hat{E}(2\omega) \hat{E}^*(\omega).$$

The coupled equations are

$$\frac{\partial \hat{E}(2\omega)}{\partial z} = -\frac{j\omega}{n_{2\omega} c_0} d_{eff} \hat{E}(\omega) \hat{E}(\omega) e^{j\Delta k z} \quad (4.8)$$

and

$$\frac{\partial \hat{E}(\omega)}{\partial z} = -\frac{j\omega}{n_{\omega} c_0} d'_{eff} \hat{E}(2\omega) \hat{E}^*(\omega) e^{-j\Delta k z}. \quad (4.9)$$

Both equations describe the energy exchange between fundamental and second-harmonic wave. The intensities are

$$I_{\omega} = \frac{n_{\omega}}{2Z_0} \left| \hat{E}(\omega) \right|^2 \quad \text{and} \quad I_{2\omega} = \frac{n_{2\omega}}{2Z_0} \left| \hat{E}(2\omega) \right|^2 \quad (4.10)$$

lossless media, i.e., d'_{eff} and d_{eff} are real.

$$\begin{aligned}
2Z_0 \frac{dI_{2\omega}}{dz} &= n_{2\omega} \left[\hat{E}^*(2\omega) \frac{\partial \hat{E}(2\omega)}{\partial z} + c.c. \right] = \\
&= -\frac{j\omega}{c_0} d_{eff} \hat{E}^*(2\omega) \hat{E}(\omega) \hat{E}(\omega) e^{j\Delta kz} + c.c. \\
2Z_0 \frac{dI_\omega}{dz} &= n_\omega \left[\hat{E}(\omega) \frac{\partial \hat{E}^*(\omega)}{\partial z} + c.c. \right] = -2Z_0 \frac{dI_{2\omega}}{dz}, \text{ if } d'_{eff} = d_{eff}^*.
\end{aligned}$$

Energy conservation demands permutation symmetry of the conversion coefficients

$$n_{2\omega} |\hat{E}(2\omega)|^2 + n_\omega |\hat{E}(\omega)|^2 = \text{const.} \equiv n_\omega \hat{E}_0^2 = \text{const.} \quad (4.11)$$

Separating the wave amplitudes with respect to amplitude and phase

$$\hat{E}(\omega) = |\hat{E}(\omega)|e^{j\Phi(\omega)}$$

$$\hat{E}(2\omega) = |\hat{E}(2\omega)|e^{j\Phi(2\omega)}$$

$$\frac{d\hat{E}(2\omega)}{dz} = \frac{d|\hat{E}(2\omega)|}{dz}e^{j\Phi(2\omega)} + j\frac{d\Phi(2\omega)}{dz}|\hat{E}(2\omega)|e^{j\Phi(2\omega)} \quad (4.12)$$

$$\frac{d|\hat{E}(2\omega)|}{dz} = \text{Re} \left\{ -\frac{j\omega d_{eff}}{n_{2\omega}c_0} |\hat{E}(\omega)|^2 e^{2j\Phi(\omega)-j\Phi(2\omega)} e^{j\Delta kz} \right\} \quad (4.13)$$

$$= \text{Re} \left\{ -\frac{j\omega d_{eff}}{n_{\omega}c_0} \{ \hat{E}_0^2 - |\hat{E}(2\omega)|^2 \} e^{2j\Phi(\omega)-j\Phi(2\omega)} e^{j\Delta kz} \right\} . \quad (4.14)$$

General solution: Jacobi elliptic function!

For $\Delta k=0$, second harmonic builds up such that

$$-je^{2j\Phi(\omega)-j\Phi(2\omega)} = 1.$$

Solution for $\Delta k=0$

$$\int_0^{|\hat{E}(2\omega)|_\ell} \frac{d|\hat{E}(2\omega)|}{\hat{E}_0^2 - |\hat{E}(2\omega)|^2} = - \int_0^\ell \frac{\omega d_{eff}}{n_\omega c_0} dz. \quad (4.15)$$

Using the integral

$$\int \frac{dx}{a^2 - x^2} = \frac{1}{a} \tanh^{-1}[x/a] \quad (4.16)$$

we obtain

$$|\hat{E}(2\omega)|_{z=\ell} = \hat{E}_0 \tanh \left\{ \hat{E}_0 \left(\frac{\omega d_{eff}}{n_\omega c_0} \right) \ell \right\} \quad (4.17)$$

or for the intensity

$$I(2\omega, \ell) = I(\omega, 0) \tanh^2 \left\{ \frac{\hat{E}_0 \omega d_{eff}}{n_\omega c_0} \cdot \ell \right\} \quad (4.18)$$

With the conversion rate $\Gamma = \frac{\omega d_{eff}}{n_\omega c_0} \hat{E}_0$ introduced above, we obtain

$$I(2\omega, \ell) = I(\omega, 0) \tanh^2 \{ \Gamma \ell \} \quad (4.19)$$

With $1 - \tanh^2 = \cosh^{-2} = \operatorname{sech}^2$

$$I(\omega, \ell) = I(\omega, 0) \operatorname{sech}^2\{\Gamma \ell\}. \quad (4.20)$$

For perfect phase matching, 100% conversion possible for $\Gamma \ell \gg 1$

What to do if there is phase mismatch?

4.3 Wave propagation in linear non-isotropic media

$$\begin{aligned} \hat{\mathbf{D}} &= \varepsilon \hat{\mathbf{E}} \\ \varepsilon &= \varepsilon_0 \begin{bmatrix} \varepsilon_x & 0 & 0 \\ 0 & \varepsilon_y & 0 \\ 0 & 0 & \varepsilon_z \end{bmatrix} \\ \nabla \times \nabla \times \hat{\mathbf{E}} &= -\omega^2 \mu_0 \varepsilon \hat{\mathbf{E}} \end{aligned} \quad (4.21)$$

Wave propagation in linear non-isotropic media

As in isotropic media, there are plane-wave solutions with

$$\hat{\mathbf{E}} = \hat{\mathbf{E}}_0 e^{-j\mathbf{k}\cdot\mathbf{r}} \quad (4.22)$$

that obey

$$\mathbf{k} \times \mathbf{k} \times \hat{\mathbf{E}} = -\omega^2 \mu_0 \epsilon \hat{\mathbf{E}} \quad (4.23)$$

The wave vector is orthogonal to the displacement vector but in general not anymore to the electric field

$$\mathbf{k} \perp (\epsilon \hat{\mathbf{E}} = \hat{\mathbf{D}}).$$

From Faraday's law we have

$$j\mathbf{k} \times \hat{\mathbf{E}} = -\omega \hat{\mathbf{B}} \quad (4.24)$$

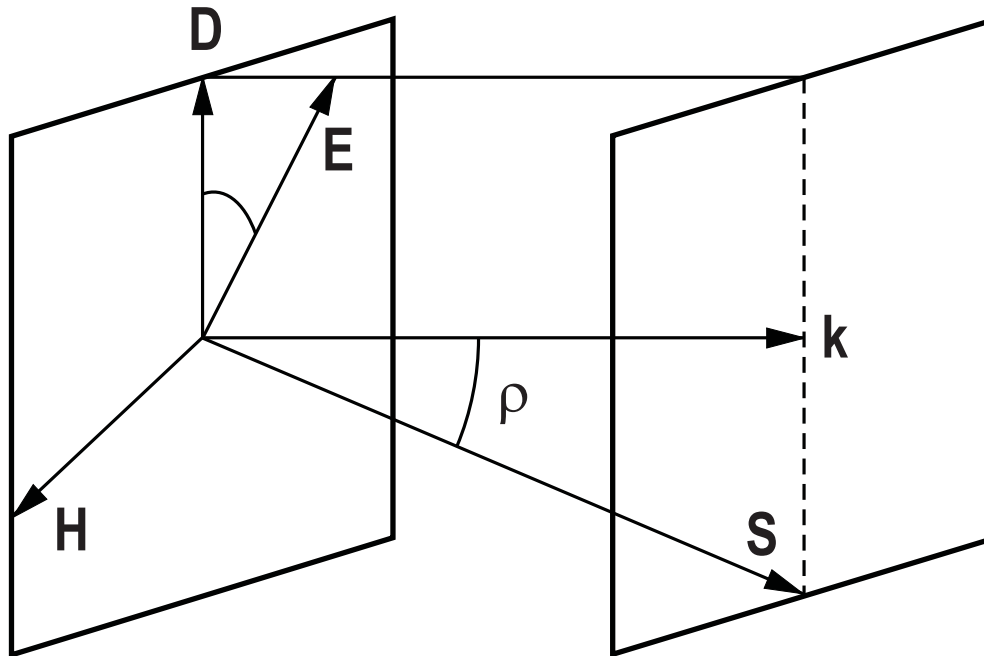
and therefore, as in the isotropic case, we have

$$\mathbf{k} \perp \hat{\mathbf{B}} \parallel \hat{\mathbf{H}}.$$

$\hat{\mathbf{E}} \parallel \hat{\mathbf{D}}$. : only when parallel to a main axis

Poynting vector $\mathbf{S} = \mathbf{E} \times \mathbf{H}$, is always normal to \mathbf{E} and \mathbf{H}

not necessarily parallel to the wave vector



D parallel to phase fronts

E in general not parallel to phase fronts

S not necessarily parallel to **k**

Figure 4.3: Relationship between field vectors, wave vector and Poynting vector of a plane wave in birefringent media.

Form of dielectric susceptibility tensor

| | | |
|-----------|--|--------------|
| isotropic | $\begin{bmatrix} xx & 0 & 0 \\ 0 & xx & 0 \\ 0 & 0 & xx \end{bmatrix}$ | cubic |
| uniaxial | $\begin{bmatrix} xx & 0 & 0 \\ 0 & xx & 0 \\ 0 & 0 & zz \end{bmatrix}$ | tetragonal |
| | | trigonal |
| | | hexagonal |
| biaxial | $\begin{bmatrix} xx & 0 & 0 \\ 0 & yy & 0 \\ 0 & 0 & zz \end{bmatrix}$ | orthorhombic |
| | $\begin{bmatrix} xx & 0 & xz \\ 0 & yy & 0 \\ xz & 0 & zz \end{bmatrix}$ | monoclinic |
| | $\begin{bmatrix} xx & xy & xz \\ xy & yy & yz \\ xz & yz & zz \end{bmatrix}$ | triclinic |

Table 4.1: Form of the dielectric susceptibility tensor for the different crystal systems.

In the following, we consider the uniaxial case

$$\varepsilon_{xx} = \varepsilon_{yy} = \varepsilon_1 \neq \varepsilon_{zz} = \varepsilon_3$$

The corresponding refractive indices are called ordinary and extraordinary indices.

$$n_1 = n_o \neq n_3 = n_e.$$

Further one distinguishes between positive uniaxial, $n_e > n_o$, and negative uniaxial, $n_e < n_o$, crystals.

Propagation different from main axes

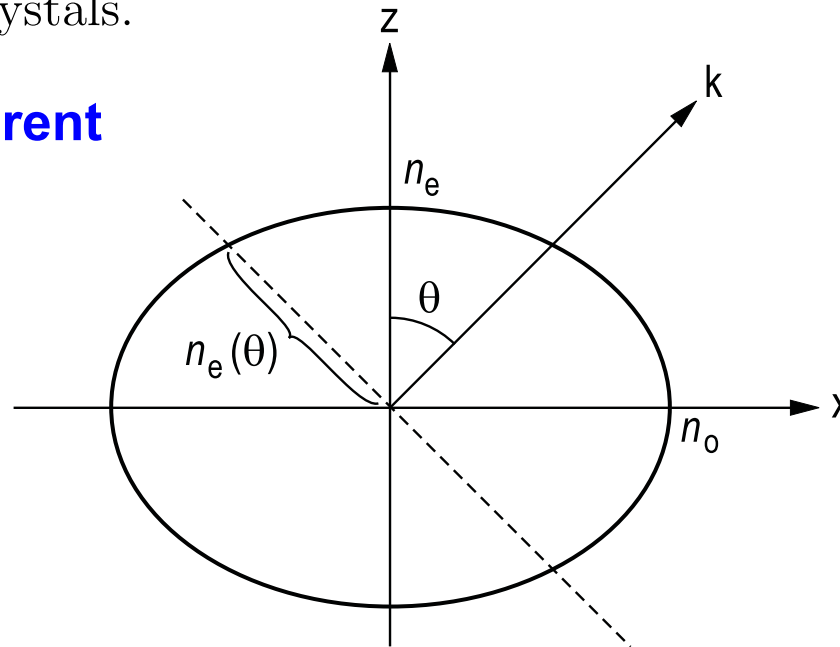


Figure 4.4: Index ellipsoid

Nonlinear optical susceptibilities

generality, we assume the wave vector lies in the x-z-plane. If we inspect Eq. (4.23) closer, we find with $\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = (\mathbf{A} \cdot \mathbf{C}) \mathbf{B} - (\mathbf{A} \cdot \mathbf{B}) \mathbf{C}$

$$\left(\mathbf{k} \cdot \hat{\mathbf{E}} \right) \mathbf{k} - k^2 \hat{\mathbf{E}} + \omega^2 \mu_0 \epsilon \hat{\mathbf{E}} = \mathbf{0}. \quad (4.25)$$

$$\begin{pmatrix} k_0^2 n_o^2 + k_x^2 - k^2 & & k_x k_z \\ & k_0^2 n_o^2 - k^2 & \\ k_z k_x & & k_0^2 n_e^2 + k_z^2 - k^2 \end{pmatrix} \hat{\mathbf{E}} = \mathbf{0} \quad (4.26)$$

y-polarized wave decouples \rightarrow ordinary wave $k^2 = k_0^2 n_o^2$.

As the wave in an isotropic medium, it is purely transversal, $\mathbf{k} \perp \hat{\mathbf{E}} \perp \hat{\mathbf{H}}$.

Wave in the x-z plane with polarization in x-z plane: extraordinary wave

$$\det \begin{vmatrix} k_0^2 n_o^2 + k_x^2 - k^2 & k_x k_z \\ k_z k_x & k_0^2 n_e^2 + k_z^2 - k^2 \end{vmatrix} = 0$$

or after some brief transformations

$$\frac{k_z^2}{n_o^2} + \frac{k_x^2}{n_e^2} = k_0^2. \quad (4.27)$$

With $k_x = k \sin(\theta)$, $k_z = k \cos(\theta)$ and $k = n(\theta) k_0$ we obtain for the refractive index of the extraordinary wave

$$\frac{1}{n(\theta)^2} = \frac{\cos^2(\theta)}{n_o^2} + \frac{\sin^2(\theta)}{n_e^2}. \quad (4.28)$$

$$\mathbf{v}_g = \nabla_{\mathbf{k}} \omega(\mathbf{k}) \parallel \mathbf{S},$$

**normal to index ellipsoid and
parallel to Poynting vector**

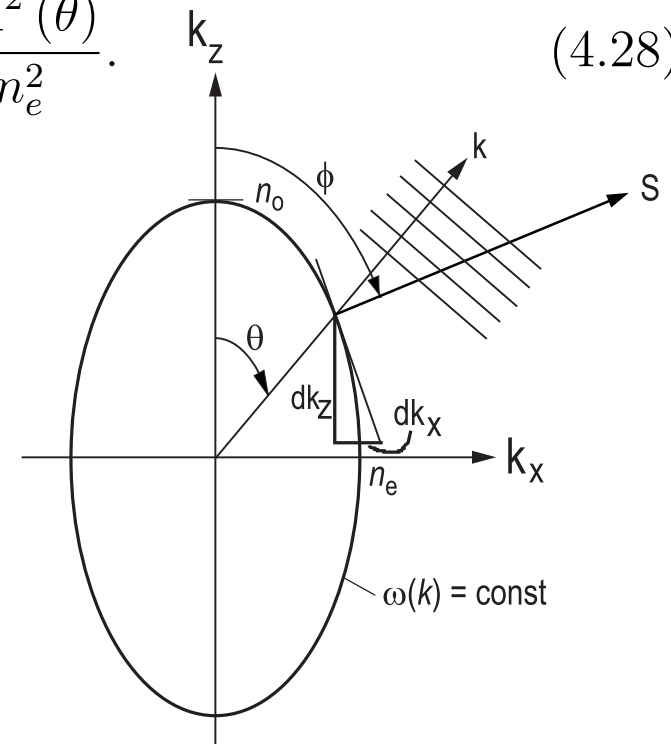


Figure 4.5: Cut through the surface of the index ellipsoid with constant free-space value $k_0(k_x, k_y, k_z)$ or frequencies.

and is normal to the index ellipsoid. To determine the “walk-off” angle between the Poynting vector and the wave vector, we consider

$$\tan \theta = \frac{k_x}{k_z}$$

$$\tan \phi = -\frac{dk_z}{dk_x}.$$

From Eq. (4.27) we find

$$\frac{2k_z dk_z}{n_o^2} + \frac{2k_x dk_x}{n_e^2} = 0, \quad (4.29)$$

and

$$\tan \phi = \frac{n_o^2 k_x}{n_e^2 k_z} = \frac{n_o^2}{n_e^2} \tan \theta.$$

Therefore, we obtain for the walk-off angle between Poynting vector and wave number vector

$$\tan \varrho = \tan (\theta - \phi) = \frac{\tan \theta - \tan \phi}{1 + \tan \theta \tan \phi} \quad (4.30)$$

$$\tan \varrho = \frac{\left(1 - \frac{n_o^2}{n_e^2}\right) \tan \theta}{1 + \frac{n_o^2}{n_e^2} \tan^2 \theta}.$$

4.4 Phase matching

4.4.1 Birefringent phase matching

In SHG, we introduced the coherence length

$$\ell_c = \pi |k(2\omega) - 2k(\omega)|^{-1} = \frac{\lambda(\omega)}{4(n(2\omega) - n(\omega))}.$$

coherence length may be as short as a few microns, if fundamental and second harmonic have the same polarization.

**non-critical
phase matching
(for neg. birefringence)**

**similar for pos.
birefringence**

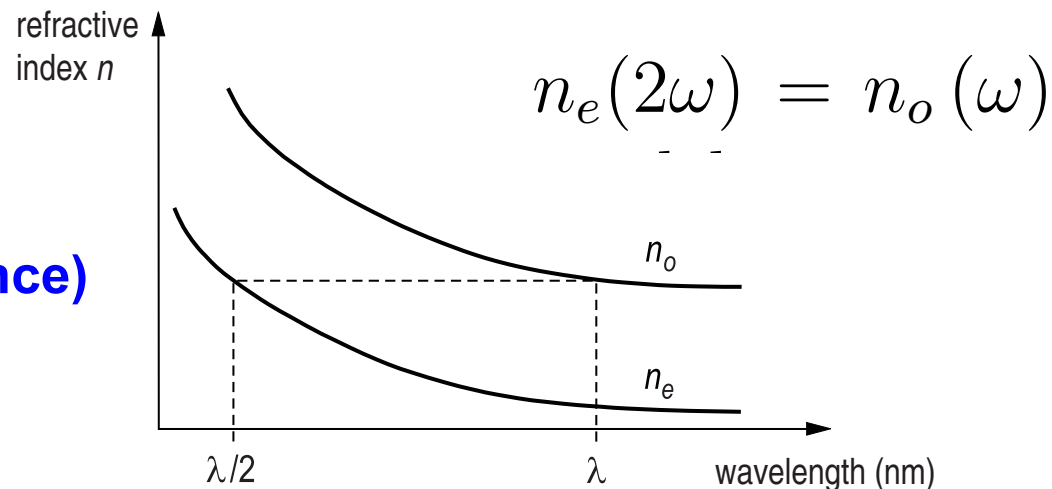


Figure 4.6: Non-critical phase matching

only approximately. Often this can be further matched by temperature tuning. Important examples for this technique is the frequency doubling of 1.06- μm radiation in LiNbO_3 , CD^*A and LBO or frequency doubling of 530-nm light in KDP.

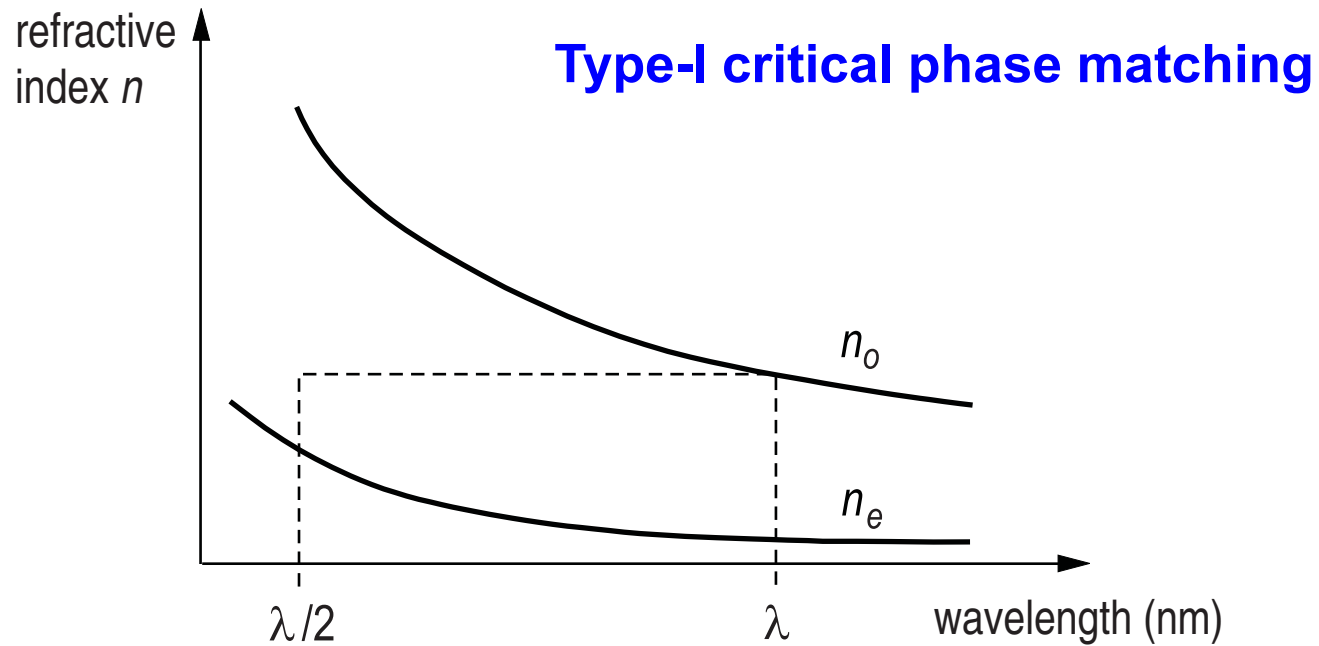


Figure 4.7: Type-I critical phase matching.

A more general situation is shown in Fig. 4.7. The birefringence is too strong for non-critical phase matching. However, by angle-tuning with respect to the optical axis every index value between $n_e(2\omega)$ and $n_o(2\omega)$ can be dialed in, especially $n_o(\omega)$. This phase matching angle, θ_p , is determined by

$$n_e^{2\omega}(\theta_p) = \left\{ \frac{\sin^2 \theta_p}{(n_e^{2\omega})^2} + \frac{\cos^2 \theta_p}{(n_o^{2\omega})^2} \right\}^{-1/2} = n_o^\omega$$

which leads to

$$\tan \theta_p = \frac{n_e^{2\omega}}{n_o^{2\omega}} \sqrt{\frac{(n_o^\omega)^2 - (n_e^{2\omega})^2}{(n_e^{2\omega})^2 - (n_o^\omega)^2}}$$

$$\tan \rho = \frac{(n_o^\omega)^2}{2} \left\{ \frac{1}{(n_e^{2\omega})^2} - \frac{1}{(n_o^{2\omega})^2} \right\} \sin 2\theta_p \approx \frac{\Delta n}{n} \sin 2\theta_p$$

only valid for small birefringence

Gaussian beam with $w_0 \rightarrow$ walk-off length $\ell_a = \frac{\sqrt{\pi}}{\varrho} w_0.$

Walk - Off

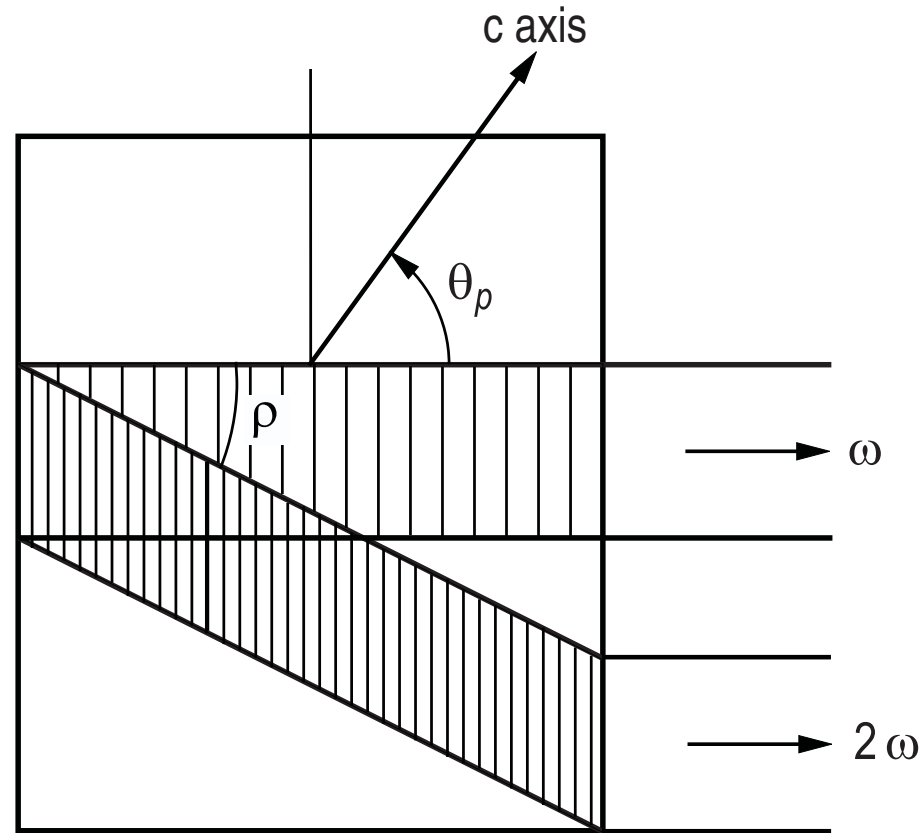


Figure 4.8: Walk-off between ordinary and extraordinary wave.

$$\tan \rho = \frac{(n_0^\omega)^2}{2} \left\{ \frac{1}{(n_e^{2\omega})^2} - \frac{1}{(n_0^{2\omega})^2} \right\} \sin 2\theta_p \approx \frac{\Delta n}{n} \sin 2\theta_p$$

Type-II phase matching

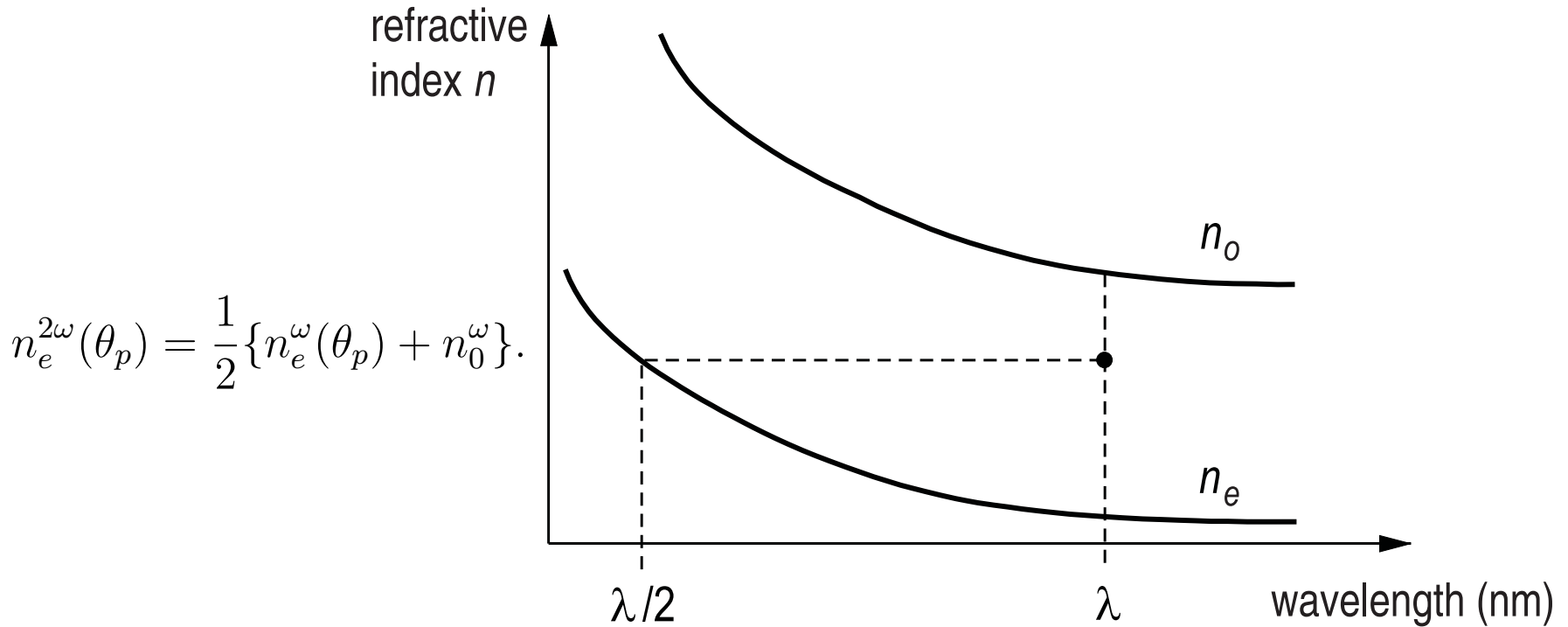


Figure 4.9: Type-II non-critical phase matching.

| | Type I | Type II |
|-------------------------------|--------------------|--------------------|
| $n_e < n_o$ (neg. uniaxial) : | $oo \rightarrow e$ | $oe \rightarrow e$ |
| $n_e > n_o$ (pos. uniaxial) : | $ee \rightarrow o$ | $oe \rightarrow o$ |

Table 4.2: Phase-matching configurations

Acceptance angle

$$\begin{aligned}\Delta k &= (k_{2\omega} - 2k_\omega)|_{\theta_p} + \left. \frac{d}{d\theta} (k_{2\omega} - 2k_\omega) \right|_{\theta_p} \Delta\theta + \dots \\ &= \frac{4\pi\Delta\theta}{\lambda} \left\{ \frac{dn_{2\omega}(\theta)}{d\theta} - \frac{dn_\omega}{d\theta} \right\}_{\theta_p}\end{aligned}$$

For type-I phase matching, there is $dn_\omega/d\theta = dn_o^\omega/d\theta = 0$ and

$$n_{2\omega}(\theta) = \left\{ \frac{\sin^2 \theta}{(n_e^{2\omega})^2} + \frac{\cos^2 \theta}{(n_o^{2\omega})^2} \right\}^{-1/2}.$$

The angle-induced phase mismatch can then be rewritten as

$$\begin{aligned}\Delta k &= -\frac{2\pi\Delta\theta}{\lambda} n_{2\omega}(\theta)^3 \left\{ \frac{2 \sin \theta \cos \theta}{(n_e^{2\omega})^2} - \frac{2 \sin \theta \cos \theta}{(n_o^{2\omega})^2} \right\} \\ &= \frac{2\pi\Delta\theta}{\lambda} (n_o^\omega)^3 \left\{ \frac{1}{(n_o^{2\omega})^2} - \frac{1}{(n_e^{2\omega})^2} \right\} \sin 2\theta_p.\end{aligned}$$

For a given crystal length ℓ the phase mismatch should not be larger than the half-width at half-maximum (HWHM) of the sinc^2 -function, i.e., $\Delta k = \pi/\ell$,

For a given crystal length ℓ the phase mismatch should not be larger than the half-width at half-maximum (HWHM) of the sinc^2 -function, i.e., $\Delta k = \pi/\ell$,

$$\Delta\theta = \frac{\lambda}{2\ell \sin 2\theta_p} (n_o^\omega)^{-3} \left\{ \frac{1}{(n_0^{2\omega})^2} - \frac{1}{(n_e^{2\omega})^2} \right\}^{-1}$$

With $\Delta n^{2\omega} = n_0^{2\omega} - n_e^{2\omega}$, $(n_0^{2\omega})^{-2} = (n_e^{2\omega})^{-2} - 2(n_e^{2\omega})^{-3}\Delta n^{2\omega}$ and $n_e^{2\omega} = n_o^\omega$, we obtain

$$\Delta\theta = -\frac{\lambda}{4\ell \sin 2\theta_p \Delta n^{2\omega}}.$$

For most cases $|\Delta\theta|$ is on the order of a few milliradians, e.g., for KH_2PO_4 (KDP) at $\lambda = 1.064 \mu\text{m}$, $n_e^\omega = 1.466$, $n_o^\omega = 1.506$, $n_e^{2\omega} = 1.487$, $n_o^{2\omega} = 1.534$. For this case, the phase-matching angle is $\theta_p = 49.9^\circ$ and for a 1-cm long crystal, there is $|\Delta\theta| = 0.001$.

For type-II phase matching under the condition $n_e^{2\omega}(\theta_p) = [n_e^\omega + n_o^\omega]/2$, we obtain

$$\Delta k = \frac{2\pi\Delta\theta}{\lambda} \left\{ 2 \frac{dn_e^{2\omega}(\theta)}{d\theta} - \frac{dn_e^\omega(\theta)}{d\theta} \right\}_{\theta_p} \quad (4.32)$$

Weak birefringence

For weak birefringence and if the wavelength dependence of both indices is similar, than the acceptance angle is roughly twice as large as for type-I phase matching. For non-critical phase matching, that is 90° -phase matching, the above derivation can not be used, since the phase-matching error depends second order on the acceptance angle. One finds

$$\Delta k = \frac{2\pi}{\lambda} (n_o^\omega)^3 \left\{ \frac{1}{(n_e^{2\omega})^2} - \frac{1}{(n_o^{2\omega})^2} \right\} (\Delta\theta)^2 \quad (4.33)$$

which simplifies for small birefringence to

$$\Delta\theta \approx \left\{ \frac{\lambda}{2\ell\Delta n^{2\omega}} \right\}^{1/2}. \quad (4.34)$$

For $\lambda = 1 \mu\text{m}$, $\Delta n = 0.047$ and $\ell = 1 \text{ cm}$, we find $|\Delta\theta| = 0.02$, e.g., this acceptance angle is an order of magnitude higher than for critical phase matching, which justifies the names critical and non-critical phase matching.

Acceptance bandwidth

$$\Delta k = \{k_{2\omega} - 2k_\omega\}|_{\lambda_p} + \left\{ \frac{d}{d\lambda} (k_{2\omega} - 2k_\omega) \right\}_{\lambda_p} \Delta\lambda + \dots \quad (4.35)$$

$$\approx 4\pi\Delta\lambda \left\{ \frac{d}{d\lambda} \left(\frac{n_{2\omega}}{\lambda} - \frac{n_\omega}{\lambda} \right) \right\}_{\lambda_p} = 4\pi \frac{\Delta\lambda}{\lambda} \left\{ \frac{1}{2} \frac{dn_{2\omega}}{d(\lambda/2)} - \frac{dn_\omega}{d\lambda} \right\}_{\lambda_p} \quad (4.36)$$

$$= 4\pi \frac{\Delta\lambda}{\lambda} \left\{ \frac{1}{2} \frac{dn}{d\lambda} \Big|_{2\omega} - \frac{dn}{d\lambda} \Big|_{\omega} \right\} \quad (4.37)$$

The acceptance bandwidth follows again from the condition, that the phase mismatch over the propagation length must stay smaller than the HWHM of the sinc²- function, i.e., $|\Delta k| < \pi/\ell$ or

$$\Delta\lambda = \left| \frac{\lambda}{4\ell} \left\{ \frac{1}{2} \frac{dn}{d\lambda} \Big|_{2\omega} - \frac{dn}{d\lambda} \Big|_{\omega} \right\}^{-1} \right|, \quad (4.38)$$

where λ is the wavelength of the fundamental wave and ℓ the interaction length. The other way around, if a bandwidth $2\Delta\lambda$ needs to be frequency doubled, a phase matched crystal can only have the length ℓ

$$\ell = \frac{\lambda}{2\Delta\lambda} \left\{ \frac{1}{2} \frac{dn}{d\lambda} \Big|_{2\omega} - \frac{dn}{d\lambda} \Big|_{\omega} \right\}^{-1} \quad (4.39)$$

its second harmonic. The group velocity of a pulse is given by

$$v_g = \frac{d\omega}{dk} = \frac{d}{dk} \left(\frac{c}{n} k \right) = \frac{c}{n} - \frac{ck}{n^2} \frac{dn}{d\lambda} \frac{d\lambda}{dk} \quad (4.40)$$

where

$$\begin{aligned} \frac{d\lambda}{dk} &= \frac{d}{dk} \left(\frac{2\pi n}{k} \right) = - \left(\frac{2\pi n}{k^2} \right) + \frac{2\pi}{k} \frac{dn}{d\lambda} \frac{d\lambda}{dk} \\ \frac{d\lambda}{dk} &= \frac{-(2\pi n/k^2)}{1 - \frac{2\pi}{k} \frac{dn}{d\lambda}}, \end{aligned} \quad (4.41)$$

that is

$$v_g = \frac{c}{n} \left\{ 1 - \frac{\lambda}{n} \frac{dn}{d\lambda} \right\}^{-1}. \quad (4.42)$$

Two pulses with duration t_p but with different group velocities will overlap over a length

$$\ell \approx \frac{t_p}{2} \left\{ \frac{1}{v_g} \Big|_{\omega} - \frac{1}{v_g} \Big|_{2\omega} \right\}^{-1}.$$

Acceptance bandwidth

With Eq. (4.42) we obtain

$$\Rightarrow \ell \approx \frac{t_p c}{2\lambda} \left\{ \frac{1}{2} \frac{dn}{d\lambda} \Big|_{2\omega} - \frac{dn}{d\lambda} \Big|_{\omega} \right\}^{-1}.$$

Using the time-bandwidth relationship

$$t_p \approx \frac{1}{\Delta f} = \frac{\lambda^2}{c\Delta\lambda} \quad (4.43)$$

we find the maximum crystal length similar to the one derived from the phase matching condition (4.39)

$$\Rightarrow \ell \approx \frac{\lambda}{2\Delta\lambda} \left\{ \frac{1}{2} \frac{dn}{d\lambda} \Big|_{2\omega} - \frac{dn}{d\lambda} \Big|_{\omega} \right\}^{-1}.$$

4.4.2 Frequency doubling of Gaussian beams

A laser emits radiation in a TEM₀₀ - mode, i.e., a Gaussian beam. The electric field of a Gaussian beam is described by

$$\hat{E}(x, y, z) = \hat{E}_0 \frac{w_0}{w(z)} \exp\{-j(kz - \phi)\} \times \exp\left\{-(x^2 + y^2) \left[\frac{1}{w^2(z)} + \frac{jk}{2R(z)} \right]\right\} \quad (4.44)$$

$$w(z) = w_0 \left\{ 1 + \left(\frac{\lambda z}{\pi w_0^2} \right)^2 \right\}^{1/2} \quad (4.45)$$

$$\phi = \tan^{-1} \left\{ \frac{\lambda z}{\pi w_0^2} \right\} \quad (4.46)$$

$$R(z) = z \left\{ 1 + \left(\frac{\pi w_0^2}{\lambda z} \right)^2 \right\} \quad (4.47)$$

Gaussian beam

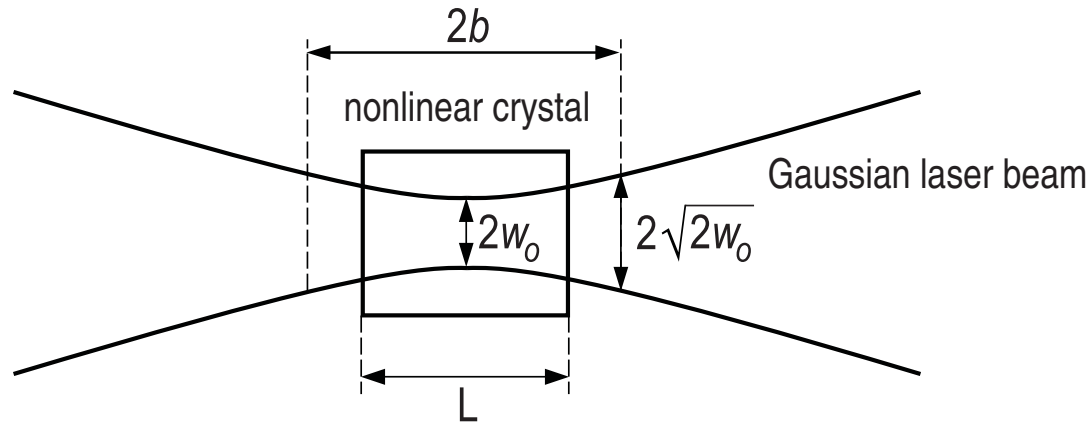


Figure 4.10: Intensity distribution of a Gaussian beam.

The confocal parameter of the beam is twice the Rayleigh range and given by

$$b = \frac{2\pi w_0^2}{\lambda} \quad (4.48)$$

see Fig. 4.10. The Rayleigh range is the distance, over which the beam cross sectional area doubles, $\pi w^2(z) < 2\pi w_0^2$. The opening angle of the beam due to diffraction is

$$\Delta\theta \approx \frac{w(z)}{z} \approx \frac{\lambda}{\pi w_0}. \quad (4.49)$$

Gaussian beam continued

In the near field ($z \ll b$), the beam is close to a plane wave

$$\hat{E}(x, y) = \hat{E}_0 \exp\left(-\frac{x^2 + y^2}{w_0^2}\right) \exp(-jkz) \quad (4.50)$$

or

$$\hat{E}(r) = \hat{E}_0 \exp\left(-\frac{r^2}{w_0^2}\right) \exp(-jkz) \quad (4.51)$$

$$P = \frac{nc\varepsilon_0}{2} \int_0^\infty \int_0^{2\pi} |\hat{E}_0|^2 \exp\left(-\frac{2r^2}{w_0^2}\right) r dr d\phi \quad (4.52)$$

$$= \frac{nc\varepsilon_0}{2} |\hat{E}_0|^2 \left(\frac{\pi w_0^2}{2}\right) \Rightarrow P = I_0 \left(\frac{\pi w_0^2}{2}\right), \quad (4.53)$$

with the peak intensity $I_0 = \frac{nc\varepsilon_0}{2} |\hat{E}_0|^2$ on beam axis. The effective area, A_{eff} , of a Gaussian beam is therefore

$$A_{eff} = \frac{P}{I_0} = \frac{\pi w_0^2}{2}. \quad (4.54)$$

Estimate of conversion efficiency for Gaussian beam

similar to the case of plane waves. From Eq. (4.59) we obtain for the conversion efficiency

$$\eta = \frac{P_2}{P_1} = \frac{2\omega^2}{\varepsilon_0 c^3} \left(\frac{d_{eff}^2}{n^3} \right) \left(\frac{P_1}{\pi w_1^2} \right) \cdot \ell^2. \quad (4.61)$$

Thus the conversion efficiency is proportional to (d_{eff}^2/n^3) . Thus for choosing a crystal for efficient frequency doubling, not only the effective nonlinearity d_{eff} should be as high as possible, but simultaneously, the refractive index n should be small. Fig. 4.11 gives an overview over the figure of merit defined by $FOM = d_{eff}^2/n^3$. From Fig. 4.10 we see that for $\ell > b$ the beam cross section increases and the conversion drops. A numerical optimization without any approximations results in the crystal length $\ell = 2.84 \cdot b$ for maximum conversion. With this result and $b = 2\pi w_1^2/\lambda$, we obtain for the maximum conversion efficiency

$$\eta_{opt} = \frac{P_2}{P_1} = \frac{2\omega^2}{\varepsilon_0 \lambda c^3} \left(\frac{d_{eff}^2}{n^3} \right) 5.68 P_1 \cdot \ell. \quad (4.62)$$

The weaker the focus and the longer the crystal, the larger is the conversion in a $\chi^{(2)}$ -process, if phase matching is maintained over the full length.

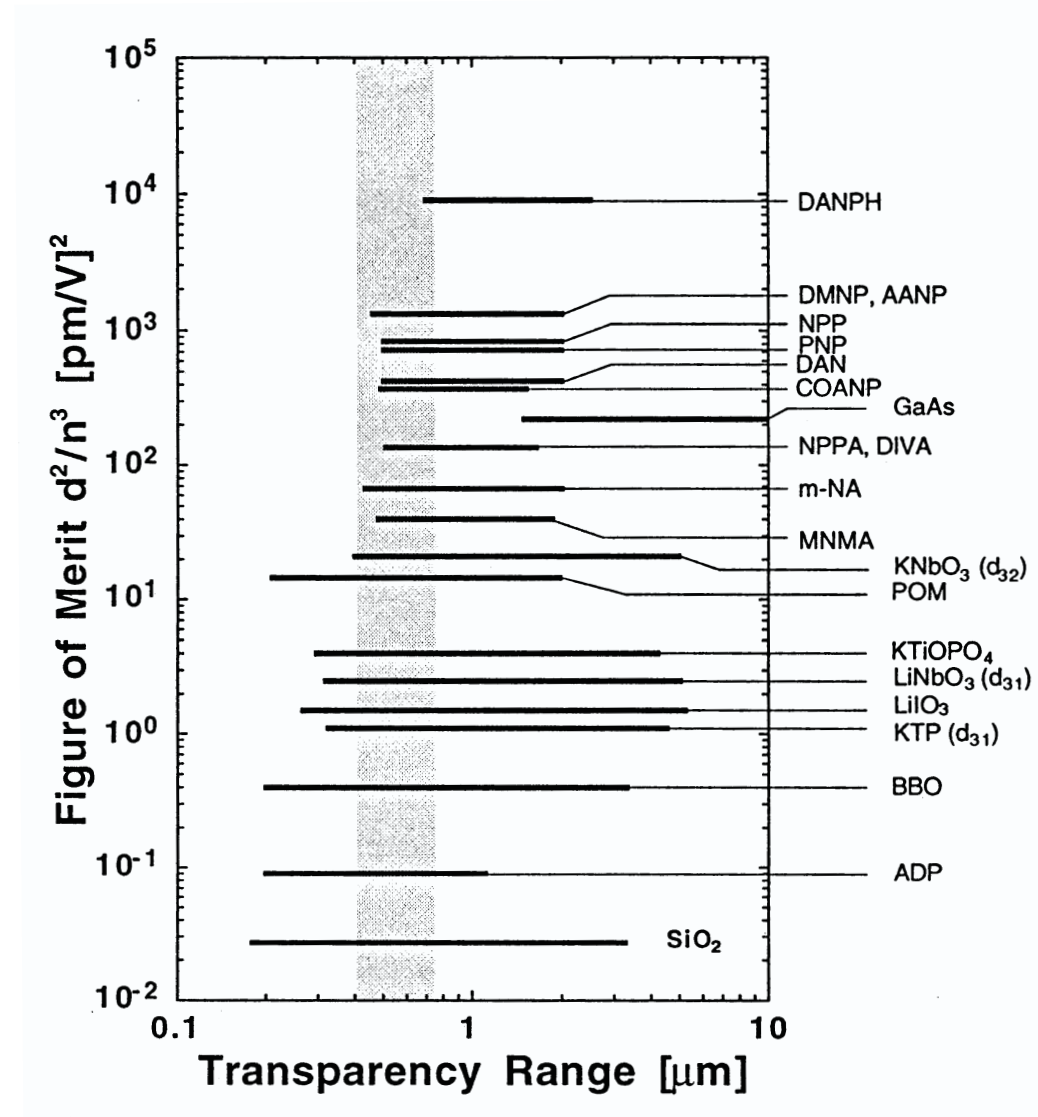


Figure 4.11: Figure of merit (FOM) for different nonlinear optical materials.